Physics of Semiconductors

12th 2016.7.4

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The Kondo effect in quantum dots Quantum Hall effects Integer quantum Hall effect Edge mode explanation Topological aspect Edge-Bulk correspondence Fractional quantum Hall effect

The Kondo effect



$$\psi_{\mathrm{A}}:|\psi_{\mathrm{A}}\uparrow
angle|d\downarrow
angle$$

$$\psi_{\mathrm{B}}:\frac{1}{\sqrt{2}}\left(|\psi_{\mathrm{B}}\uparrow\rangle|d\downarrow\rangle-|\psi_{\mathrm{B}}\downarrow\rangle|d\uparrow\rangle\right)$$

$$\frac{1}{\sqrt{2}} \left(s \uparrow \right) \left| d \downarrow \right\rangle - \left| s \downarrow \right\rangle \left| d \uparrow \right\rangle \right)$$



Jun Kondo





Kondo singlet

A. Yoshimori, PR168 (1967)

Kondo state:
$$\psi = \{\sum_{k} \left[\Gamma_{k}^{\alpha} a_{k\downarrow}^{\dagger} \alpha + \Gamma_{k}^{\beta} a_{k\uparrow}^{\dagger} \beta \right]$$
$$+ \sum_{k_{1}k_{2}k_{3}} \left[\Gamma_{k_{1}k_{2}k_{3}}^{\alpha} a_{k_{1\downarrow}}^{\dagger} a_{k_{2\downarrow}}^{\dagger} a_{k_{3\downarrow}} \alpha + \Gamma_{k_{1}k_{2}k_{3}}^{\beta} a_{k_{1\uparrow}}^{\dagger} a_{k_{2\uparrow}}^{\dagger} a_{k_{3\uparrow}} \beta$$
$$+ \Gamma_{k_{1}k_{2}k_{3}}^{\alpha} a_{k_{1\downarrow}}^{\dagger} a_{k_{2\uparrow}}^{\dagger} a_{k_{3\uparrow}} \alpha + \Gamma_{k_{1}k_{2}k_{3}}^{\beta} a_{k_{1\uparrow}}^{\dagger} a_{k_{2\downarrow}}^{\dagger} a_{k_{3\downarrow}} \beta \right]$$
$$+ \cdots \} \psi_{v}, \quad (1)$$

Yosida's variational ground state Fermi sea state





Ch.6 Quantum Hall Effects

Integer Quantum Hall Effect



Birthday of quantum Hall effect



Discovery of quantum Hall effect



Klaus von Klitzing





Shinji Kawaji



Phys. Rev. Lett. 45, 494 (1980)

Tsuneya Ando





Yasuhiro Iye



Standard of resistance



IQHE and Landau quantization



From Wikipedia

Two dimensional electrons under magnetic field $m^*\ddot{\boldsymbol{r}} = -e\boldsymbol{v} imes \boldsymbol{B}$ Lorentz force $\boldsymbol{r} = \boldsymbol{R} + r_0(\cos\omega_{\rm c}t,\sin\omega_{\rm c}t)$ $\bullet B$ $\omega_{\rm c} \equiv \frac{eB}{m^*}, \ r_0 \equiv \frac{v_0}{\omega_{\rm c}}$ Cyclotron frequency, radius **R** : Guiding center $oldsymbol{r}_0 = rac{m^* v_0}{eB}$ $m^* \ddot{\boldsymbol{r}} = -e(\boldsymbol{E} + \boldsymbol{v} \times \boldsymbol{B})$ ^C

R: Moves vertically to **E** with constant velocity E/B

$$\mathscr{H} = \frac{m}{2} \boldsymbol{v}^2 = \frac{(\boldsymbol{p} + e\boldsymbol{A})^2}{2m} \quad \boldsymbol{B} = \operatorname{rot}\boldsymbol{A}$$
$$\equiv \frac{\boldsymbol{\pi}^2}{2m} = \frac{\pi_x^2 + \pi_y^2}{2m} \quad \boldsymbol{\pi} \equiv \boldsymbol{p} + e\boldsymbol{A}$$



Hendrik Lorentz 1853 - 1928



Landau quantization

$$[\pi_{\alpha}, \beta] = -i\hbar \delta_{\alpha\beta} \ (\alpha, \beta = x, y), \quad [\pi_x, \pi_y] = -i\frac{\hbar^2}{l^2}$$
$$l \equiv \sqrt{\frac{\hbar}{eB}} = \sqrt{\frac{1}{2}}\sqrt{\frac{\phi_0}{\pi B}} \qquad \text{Magnetic length}$$



Lev Landau 1908 - 1968

$$\hat{\boldsymbol{r}} = \hat{\boldsymbol{R}} + \frac{l^2}{\hbar} (\pi_y, -\pi_x) \qquad \boldsymbol{R} = (X, Y) \quad [X, Y] = il^2$$
$$a = \frac{l}{\sqrt{2}\hbar} (\pi_x - i\pi_y), \quad a^{\dagger} = \frac{l}{\sqrt{2}\hbar} (\pi_x + i\pi_y)$$
$$[a, a^{\dagger}] = 1, \quad \mathscr{H} = \hbar\omega_c \left(a^{\dagger}a + \frac{1}{2}\right) \qquad E_n = \hbar\omega_c \left(n + \frac{1}{2}\right) \quad (n = 0, 1, 2, \cdots)$$

0

Landau quantization: Landau gauge

Diagonalize X : Landau gauge A = (0, Bx)

$$\mathscr{H}\psi = \frac{(\mathbf{p} + e\mathbf{A})^2}{2m}\psi = \frac{-1}{2m}\left[\frac{\hbar^2\partial^2}{\partial x^2} - \left(-i\frac{\hbar\partial}{\partial y} + eBx\right)^2\right]\psi(\mathbf{r})$$
$$= \frac{1}{2m}\left[-\hbar^2\nabla^2 - 2i\hbar eBx\frac{\partial}{\partial y} + e^2B^2x^2\right]\psi(\mathbf{r}) = E\psi(\mathbf{r})$$

$$\begin{split} \psi(\mathbf{r}) &= u(x) \exp(iky) \\ \left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{(eB)^2}{2m} \left(x + \frac{\hbar}{eB} k \right)^2 \right] u(x) = \left[-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{m\omega_c^2}{2} (x + l^2k)^2 \right] u(x) = Eu(x) \\ \psi_{nk}(\mathbf{r}) \propto H_n \left(\frac{x - x_k}{l} \right) \exp\left(-\frac{(x - x_k)^2}{2l^2} \right) \exp(iky) \quad (x_k \equiv -l^2k) \\ X &= x_k = -l^2k = -l^2p_y/\hbar \qquad \frac{dE}{dk} = 0 \quad \text{Group velocity} = 0 \end{split}$$

Landau quantization: forms of wavefunctions



 $\boldsymbol{A} = \boldsymbol{B} \times \boldsymbol{r}/2$

Shubnikov-de Haas oscillation

Number of states in $S = W_x \times W_y$ $0 \le X \le W_x \to -W_x l^2 \le k \le 0$ "Distance" of k-values in y-direction: $2\pi/W_y$ $\frac{W_x/l_B^2}{2\pi/W_y} = \frac{S}{2\pi l_B^2}$ $n_{\rm L} = \frac{1}{2\pi l_B^2} = \frac{eB}{h} = \frac{B}{\phi_0}$

 $\nu = \frac{\phi_0 n_s}{B}$: Filling factor (number of Landau levels filled with electrons)



SdH oscillation (example)



$$n = \frac{2}{\phi_0 \Delta(1/B)} = \frac{4.83 \times 10^{14}}{\Delta(1/B)} \quad (m^{-2})$$

Localization/delocalization of wavefunctions



Edge mode explanation of IQHE



Markus Büttiker
1950-2013
$$\langle v_y \rangle = \frac{dE}{\hbar dk} = -\frac{l_B^2}{\hbar} \frac{dE}{dX}$$

Current brought by a Landau edge mode

$$J = \int_{X_0}^{X_{\mu}} \frac{L_y dX}{2\pi l_B^2} \frac{e}{L_y} \langle v_y \rangle$$
$$= \frac{e}{h} \int dX \frac{dE}{dX} = \frac{e}{h} (\mu - E_0)$$

One dimensional system:

Landauer formula is applicable

$$\sigma_{xy} = \frac{J_y}{V_x} = \frac{e(J_{\rm A} - J_{\rm B})}{\mu_{\rm A} - \mu_{\rm B}} = \frac{e^2}{h}$$

Chiral edge mode: No backscattering!



Explanation from topological aspect

Bloch electrons under magnetic field: tight binding model

Translational operator: $T_{\mathbf{R}}f(\mathbf{r}) = f(\mathbf{r} + \mathbf{R}), \quad T_{\mathbf{R}} = \exp\left(\frac{i}{\hbar}\mathbf{R} \cdot \mathbf{p}\right)$ Hamiltonian: $\mathscr{H}_0 = -\frac{\hbar^2 \nabla^2}{2m} + V(\mathbf{r})$



David Thouless Mahito Kohmoto

 \rightarrow simultaneous diagonalization \rightarrow Bloch states

$$\mathscr{H} = \frac{1}{2m} (\mathbf{p} + e\mathbf{A})^2 + V(\mathbf{r})$$
$$\mathbf{A}(\mathbf{r}) = \mathbf{A}(\mathbf{r} + \mathbf{R}) + \nabla g(\mathbf{r}) \text{ does not have translational symmetry}$$

Magnetic translation operator $\boldsymbol{p} \rightarrow \boldsymbol{p} + e \boldsymbol{A}$

Symmetric gauge
$$\mathbf{A} = \mathbf{B} \times \mathbf{r}/2$$

 $T_{B\mathbf{R}} \equiv \exp\left\{\frac{i}{\hbar}\mathbf{R} \cdot \left[\mathbf{p} + \frac{e}{2}(\mathbf{r} \times \mathbf{B})\right]\right\} = T_{\mathbf{R}} \exp\left[\frac{ie}{\hbar}(\mathbf{B} \times \mathbf{R}) \cdot \frac{\mathbf{r}}{2}\right]$
 $[\mathcal{H}, T_{B\mathbf{R}}] = 0$

Magnetic Brillouin zone

 $T_{BRa}T_{BRb} = \exp(2\pi i\phi)T_{BRb}T_{BRa}, \quad \phi = \frac{eB}{h}ab$ However $\phi = p/q$: rational number Magnetic unit cell: unit vectors $(a, b) \rightarrow$ magnetic unit vectors (qa, b)Lattice vector : $R' = n(q\mathbf{a}) + m\mathbf{b}$ $T_{BR'}$: elements commute ψ : simultaneously diagonalizes \mathcal{H} and T_{BR} Magnetic Brillouin zone: $0 \le k_1 < 2\pi/qa, \ 0 \le k_2 < 2\pi/b$ $T_{aa+b}\psi = \exp[i(k_xqa+k_yb)]\psi$ Magnetic Bloch function: $\psi_{nk}(\mathbf{r}) = e^{i\mathbf{k}\mathbf{r}} u_{nk}(\mathbf{r})$

Magnetic Bloch function

$$u_{nk}(x+qa,y) = \exp\left(i\frac{\pi py}{b}\right)u_{nk}(x,y),$$

$$u_{nk}(x,y+b) = \exp\left(-i\frac{\pi px}{qa}\right)u_{nk}(x,y).$$

$$u_{nk}(\boldsymbol{r}) = |u_{nk(\boldsymbol{r})}| \exp[i\theta_{\boldsymbol{k}}(\boldsymbol{r})] \quad p = -\frac{1}{2\pi} \oint d\boldsymbol{l} \cdot \frac{\partial \theta_{\boldsymbol{k}}(\boldsymbol{r})}{\partial \boldsymbol{l}}$$
Remember k·p approximation $\boldsymbol{p}e^{i\boldsymbol{k}\boldsymbol{r}} = e^{i\boldsymbol{k}\boldsymbol{r}}(\hbar\boldsymbol{k}+\boldsymbol{p})$

$$(\boldsymbol{p}+e\boldsymbol{A})^2 e^{i\boldsymbol{k}\boldsymbol{r}}u_{n\boldsymbol{k}}(\boldsymbol{r}) = e^{i\boldsymbol{k}\boldsymbol{r}}(\hbar\boldsymbol{k}+\boldsymbol{p}+e\boldsymbol{A})^2u_{n\boldsymbol{k}}(\boldsymbol{r})$$

Schrodinger-like equation for $u_{nk}(r)$

$$\mathscr{H}_{\boldsymbol{k}} u_{n\boldsymbol{k}}(\boldsymbol{r}) = E_{n\boldsymbol{k}} u_{n\boldsymbol{k}}(\boldsymbol{r}), \quad \mathscr{H}_{\boldsymbol{k}} = \frac{1}{2m} (-i\hbar \boldsymbol{\nabla} + \hbar \boldsymbol{k} + e\boldsymbol{A})^2 + V(\boldsymbol{r})$$

k- dependent Hamiltonian

Kubo formula for σ_{xy}

Ryogo Kubo 1920 - 1995

Electric field along y-axis: E

$$\frac{|\alpha'\rangle = |\alpha\rangle}{\text{te}} + \sum_{\beta \neq \alpha} \frac{\langle \beta | eEy | \alpha \rangle}{E_{\alpha} - E_{\beta}} |\beta\rangle$$

Unperturbed state

$$j_{x} = \frac{1}{L^{2}} \sum_{\alpha} f(E_{\alpha'}) \langle \alpha' | \hat{j}_{x} | \alpha' \rangle = \frac{1}{L^{2}} \sum_{\alpha} f(E_{\alpha}) \sum_{\beta \neq \alpha} \frac{\langle \alpha | (-ev_{x}) | \beta \rangle \langle \beta | eEy | \alpha \rangle}{E_{\alpha} - E_{\beta}} + \text{c.c.}$$
$$\langle \beta | v_{y} | \alpha \rangle = \langle \beta | \dot{y} | \alpha \rangle = -\frac{i}{\hbar} \langle \beta | [y, \mathscr{H}] | \alpha \rangle = -\frac{i}{\hbar} (E_{\alpha} - E_{\beta}) \langle \beta | y | \alpha \rangle$$

$$\sigma_{xy} = \frac{j_x}{E} = \frac{e^2\hbar}{iL^2} \sum_{\alpha} f(E_{\alpha}) \sum_{\beta} \frac{\langle \alpha | v_x | \beta \rangle \langle \beta | v_y | \alpha \rangle}{(E_{\alpha} - E_{\beta})^2} + \text{c.c.}$$

Magnetic Bloch function (II)

Velocity operator:
$$\boldsymbol{v} = (-i\hbar \nabla + e\boldsymbol{A})/m$$

 $u_{n\boldsymbol{k}}(\boldsymbol{r}) \rightarrow |n, \boldsymbol{k}\rangle$
 $\langle n, \boldsymbol{k} | \boldsymbol{v} | m, \boldsymbol{k}' \rangle = \delta_{\boldsymbol{k}\boldsymbol{k}'} \int_{0}^{qa} dx \int_{0}^{b} dy u_{n\boldsymbol{k}}^{*} \boldsymbol{v} u_{m\boldsymbol{k}'} \equiv \delta_{\boldsymbol{k}\boldsymbol{k}'} \langle n | \boldsymbol{v} | m \rangle$
Normalization: $\int_{0}^{qa} dx \int_{0}^{b} dy |u_{n\boldsymbol{k}}(\boldsymbol{r})|^{2} = 1$
 $\langle n | v_{x} | m \rangle = \frac{1}{\hbar} \left\langle n \left| \frac{\partial \mathscr{H}_{\boldsymbol{k}}}{\partial k_{x}} \right| m \right\rangle, \quad \langle n | v_{y} | m \rangle = \frac{1}{\hbar} \left\langle n \left| \frac{\partial \mathscr{H}_{\boldsymbol{k}}}{\partial k_{y}} \right| m \right\rangle.$
 $\langle n \left| \frac{\partial \mathscr{H}_{\boldsymbol{k}}}{\partial k_{j}} \right| m \right\rangle = (E_{m} - E_{n}) \left\langle n \left| \frac{\partial u_{m}}{\partial k_{j}} \right\rangle = -(E_{m} - E_{n}) \left\langle \frac{\partial u_{n}}{\partial k_{j}} \right| m \right\rangle,$
 $j = x.y$

$$\begin{aligned} \sigma_{xy} &= -i\frac{e^2}{\hbar} \sum_{\mathbf{k}} \sum_n f(E_{n\mathbf{k}}) \sum_{m(\neq n)} \left[\frac{\langle n\mathbf{k} | \partial \mathscr{H}_{\mathbf{k}} / \partial k_x | m\mathbf{k} \rangle \langle m\mathbf{k} | \partial \mathscr{H}_{\mathbf{k}} / \partial k_y | n\mathbf{k} \rangle}{(E_{n\mathbf{k}} - E_{m\mathbf{k}})^2} - \text{c.c.} \right] \\ &= -i\frac{e^2}{\hbar} \sum_{\mathbf{k}} \sum_n f(E_{n\mathbf{k}}) \sum_{m(\neq n)} \left[\left\langle \frac{\partial u_n}{\partial k_x} \middle| m \right\rangle \left\langle m \left| \frac{\partial u_n}{\partial k_y} \right\rangle - \left\langle \frac{\partial u_n}{\partial k_y} \middle| m \right\rangle \left\langle m \left| \frac{\partial u_n}{\partial k_x} \right\rangle \right] \right] \\ &= \frac{e^2}{h} \frac{2\pi}{i} \sum_{\mathbf{k}} \sum_n f(E_{n\mathbf{k}}) \left[\left\langle \frac{\partial u_n}{\partial k_x} \middle| \frac{\partial u_n}{\partial k_y} \right\rangle - \left\langle \frac{\partial u_n}{\partial k_y} \middle| \frac{\partial u_n}{\partial k_x} \right\rangle \right] . \end{aligned}$$
Vector field:
$$\mathbf{A}_{n\mathbf{k}} = \int d^2 \mathbf{r} u_{n\mathbf{k}}^* \nabla_{\mathbf{k}} u_{n\mathbf{k}} = \left\langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} | u_{n\mathbf{k}} \right\rangle$$

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi i} \sum_{E_n < E_F} \int_{\text{MBZ}} d^2 k [\nabla_{\mathbf{k}} \times \mathbf{A}_{n\mathbf{k}}]_{k_z} = \frac{e^2}{h} \frac{1}{2\pi i} \sum_{E_n < E_F} \int_{\text{MBZ}} d^2 k [\operatorname{rot}_{\mathbf{k}} \mathbf{A}_{n\mathbf{k}}]_{k_z}$$

Existence of zero or anomaly

Magnetic Brillouin zone



$$I = \frac{1}{2\pi i} \left[\int_{\mathbf{I}} d^{2}k [\operatorname{rot} \mathbf{A}]_{k_{z}} + \int_{\mathbf{II}} d^{2}k [\operatorname{rot} \mathbf{A}]_{k_{z}} \right] = \oint_{\partial H} (\mathbf{A}^{\mathbf{II}} - \mathbf{A}^{\mathbf{I}}) \cdot \frac{d\mathbf{k}}{2\pi i}$$

On the boundary ∂H $u_{\mathbf{k}}^{\mathbf{I}} = u_{\mathbf{k}}^{\mathbf{II}} e^{i\theta(\mathbf{k})}$
$$\oint_{\partial H} \left[\langle u_{\mathbf{k}}^{\mathbf{II}} | \boldsymbol{\nabla}_{\mathbf{k}} | u_{\mathbf{k}}^{\mathbf{II}} \rangle + (i \boldsymbol{\nabla}_{\mathbf{k}} \theta) \langle u_{\mathbf{k}}^{\mathbf{II}} | u_{\mathbf{k}}^{\mathbf{II}} \rangle - \langle u_{\mathbf{k}}^{\mathbf{II}} | \boldsymbol{\nabla}_{\mathbf{k}} | u_{\mathbf{k}}^{\mathbf{II}} \rangle \right] \cdot \frac{d\mathbf{k}}{2\pi i}$$
$$= \frac{\Delta_{\partial H} \theta}{2\pi} = \nu_{\mathrm{C}} \qquad : \text{Chern number (integer)}$$
Topological invariant



$$\sigma_{xy} = n_B \nu_{\rm C} \frac{e^2}{h}$$

Thouless-Kohmoto-Nightingale-den Nijs (TKNN) Formula



Magnetic flux $\Phi: X$ shift $X \to X + \frac{\Phi}{L_y B}: \frac{\Phi}{\phi_0} \frac{L_x}{N_L} \quad (N_L \equiv n_L L_x L_y)$ $j_y = \frac{J_y}{L_x} = \frac{1}{L_x} \frac{\partial E_{L_x}}{\partial \Phi} \quad (cf. \ E = \frac{L}{2} J^2, \ \Phi = LJ)$ $= \frac{1}{L_x} \frac{\Delta E_{L_x}}{\Delta \Phi} = \frac{1}{L_x} \left(-e\mathcal{E}_x \frac{L_x}{N_L} \right) \frac{N_e}{\phi_0} = \nu \frac{e^2}{h} \mathcal{E}_x$ Chern number =1

Bulk-Edge correspondence



Hasan & Kane, Rev. Mod. Phys. 82, 3045 (2010).

Transition between bands with different Chern number only can attained through energy gap collapse.

Fractional quantum Hall effect



Consider electrons in graphene under magnetic field. Let us treat the motion semi-classically.

Cyclotron frequency expression $\omega_c = \frac{eB}{m}$ cannot be applied due to m=0. Instead use the relation E = pc.

- (1) Express the cyclotron radius with momentum and e and B.
- (2) A circular motion of electron gives kinetic phase and AB phase to the electron. Replace the momentum in (1) with $\hbar k$ and express the total phase acquired by the electron within a circular motion with the flux through the cyclotron circle and the flux quantum.
- (3) The acquired phase should be integer times 2π . Obtain the expression for cyclotron radius and energy.