

Lecture on

Semiconductors / 半導体

(Physics of semiconductors)

2021.6.30 Lecture 12

10:25 – 11:55

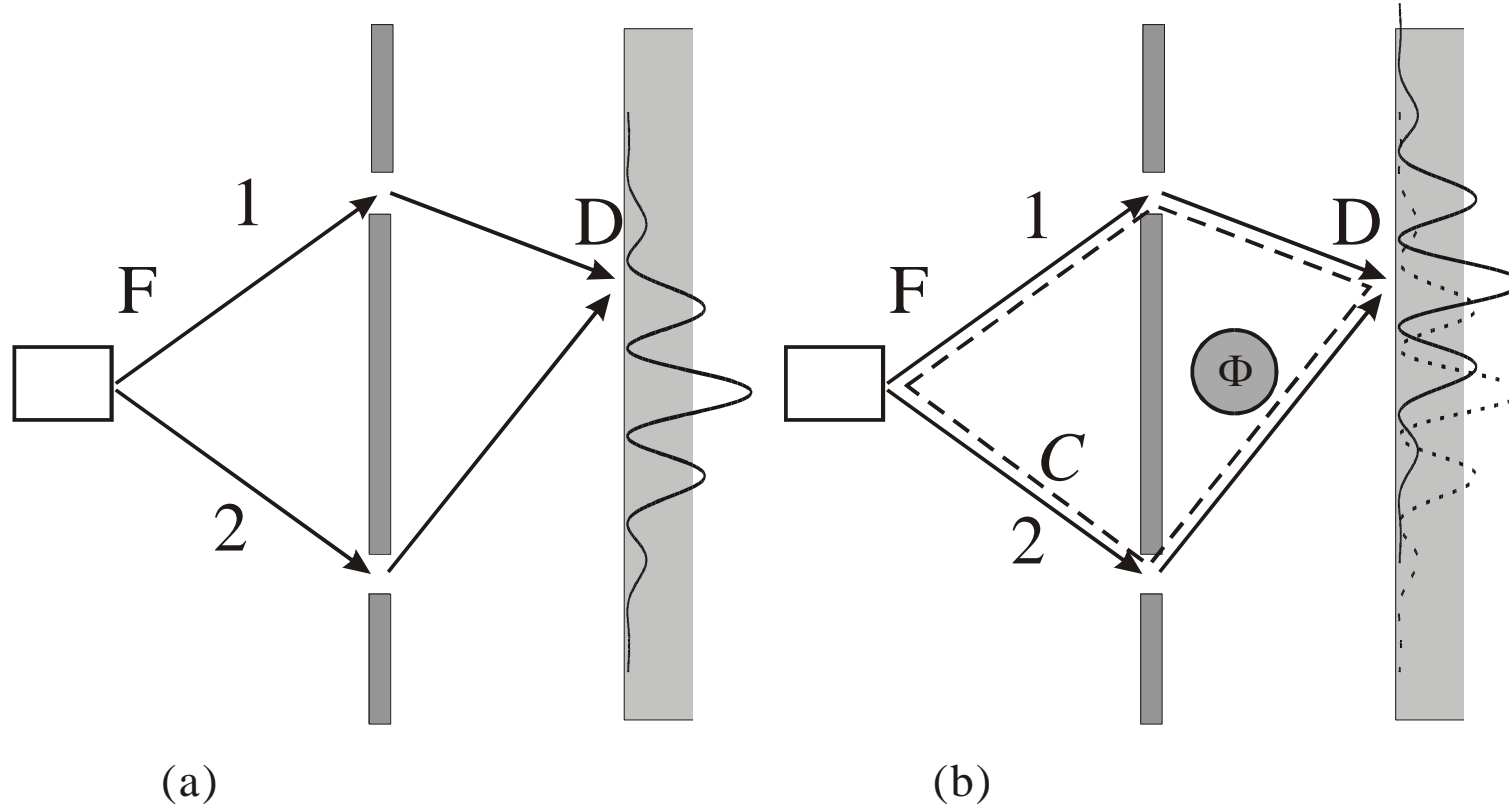
Institute for Solid State Physics, University of Tokyo

Shingo Katsumoto

Chapter 8 Basics of Quantum Transport

- Boundary between classical and quantum (coherence length)
- Conductance quantum
- Quantum point contact
- Landauer formula for two-terminal conductance
- Scattering matrix (S-matrix)
- Onsager reciprocity
- Landauer-Büttker formula for multi-terminal conductance

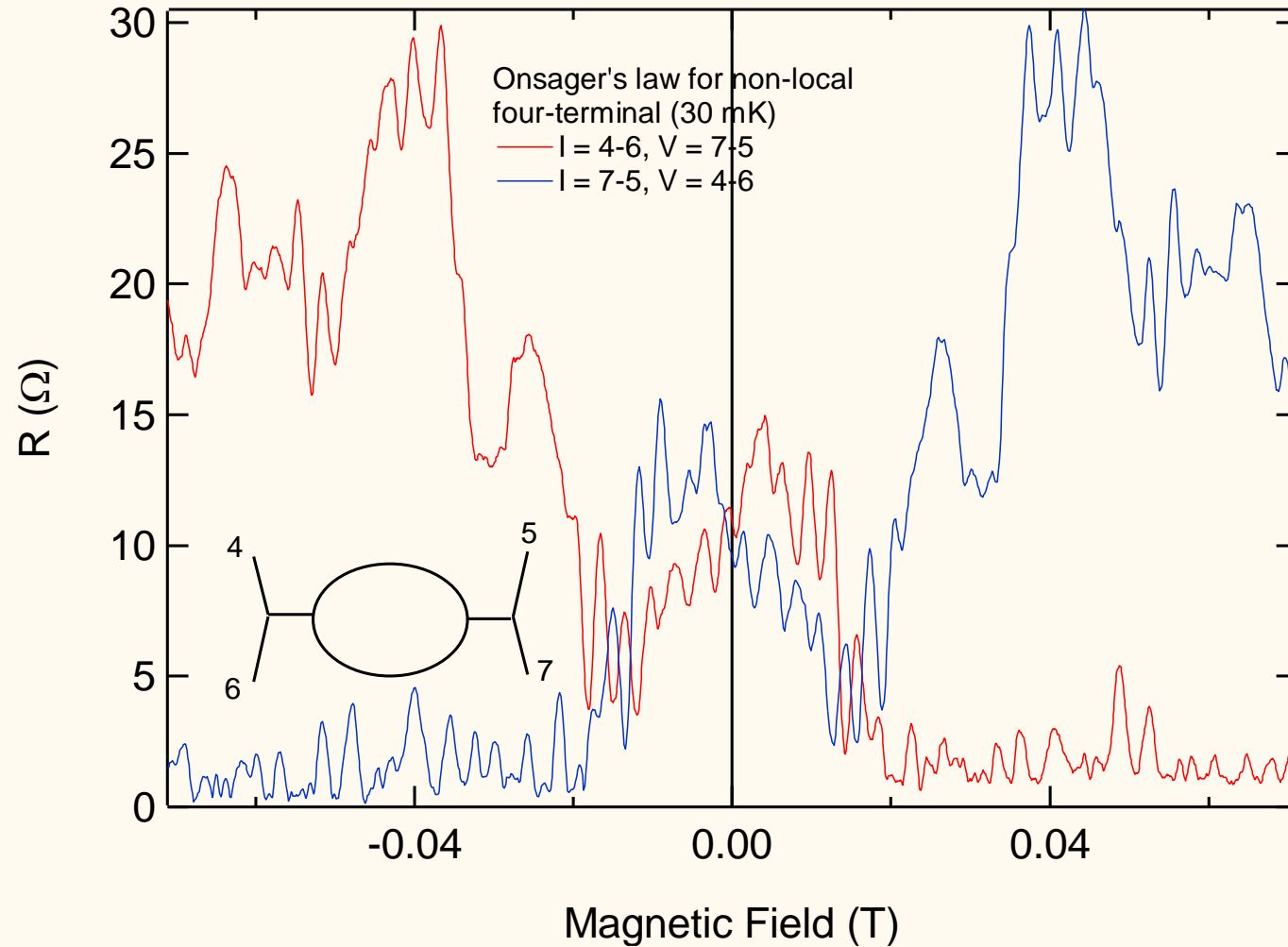
Aharonov-Bohm effect



$$\mathbf{p} = m\mathbf{v} + e\mathbf{A} \quad p = \hbar k = \frac{h}{\lambda}$$
$$\Delta\theta = \frac{e}{\hbar} \oint_C \Delta\mathbf{A} \cdot d\mathbf{s} = \frac{e}{\hbar} \int_S \mathbf{B} \cdot d\mathbf{n} = 2\pi \frac{\Phi}{\Phi_0} \quad \Phi_0 \equiv \frac{h}{e}$$

Onsager reciprocity in AB ring

$$\mathcal{R}_{ij,kl}(B) = \mathcal{R}_{kl,ij}(-B)$$



In the case of two-terminal measurement

$$R(B) = R(-B)$$

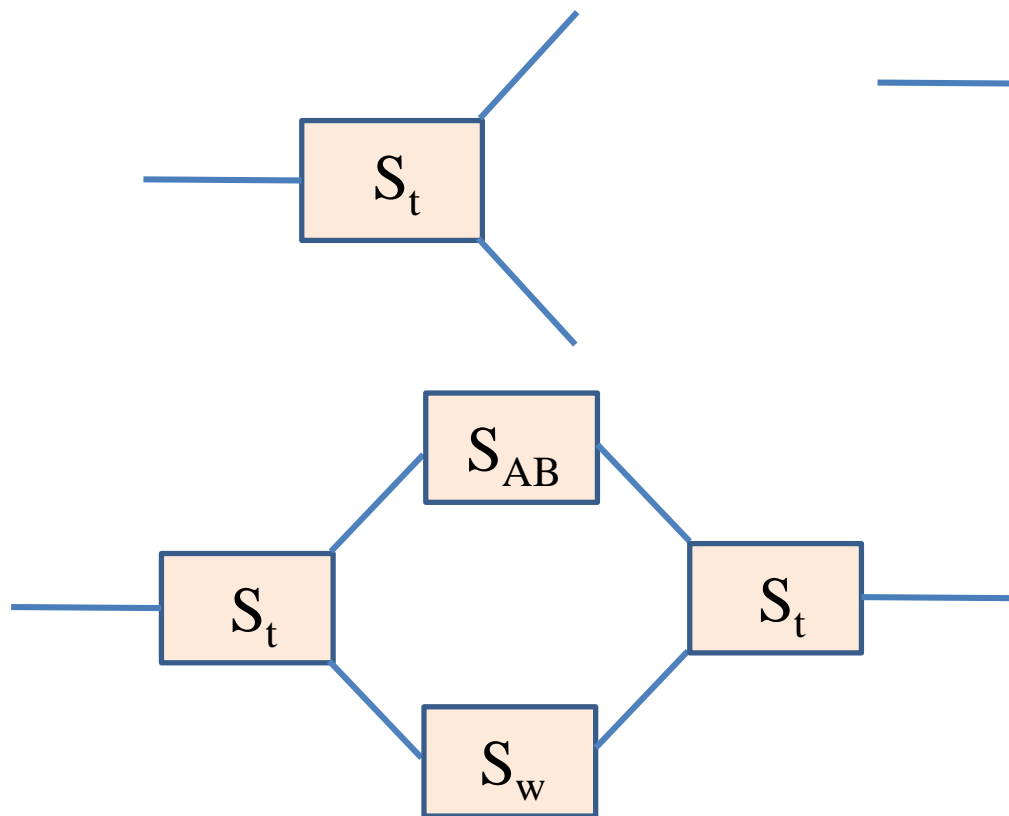
Magnetoresistance: Universal conductance fluctuation including AB oscillation

S-matrix: Application to Aharonov-Bohm ring

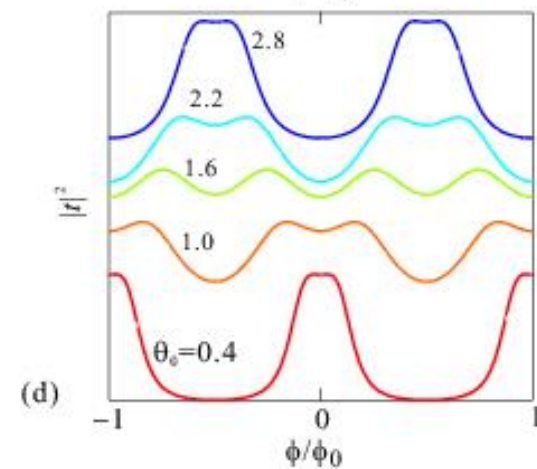
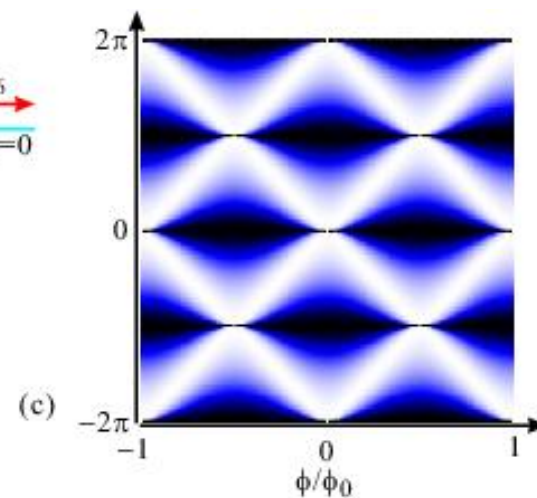
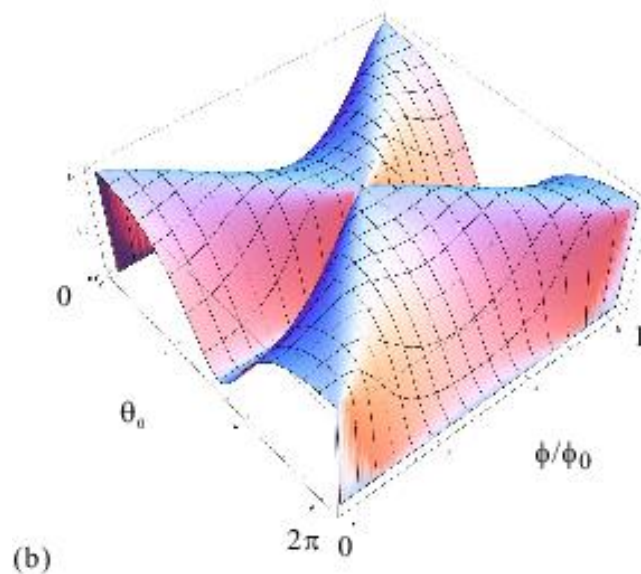
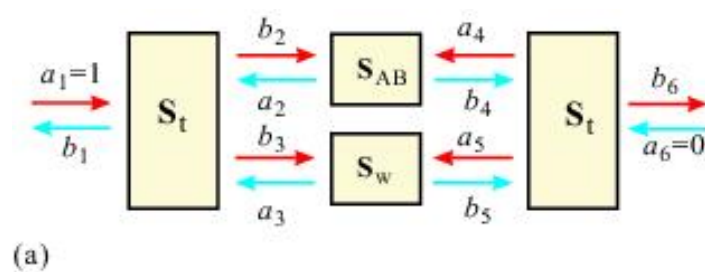
$$S_t = \begin{pmatrix} 0 & -1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & 1/2 & -1/2 \\ -1/\sqrt{2} & -1/2 & 1/2 \end{pmatrix}$$

$$S_{AB} = \begin{pmatrix} 0 & e^{i\theta_{AB}} \\ e^{-i\theta_{AB}} & 0 \end{pmatrix}, \quad \theta \equiv 2\pi \frac{\phi}{\phi_0} = \frac{e}{\hbar} \phi$$

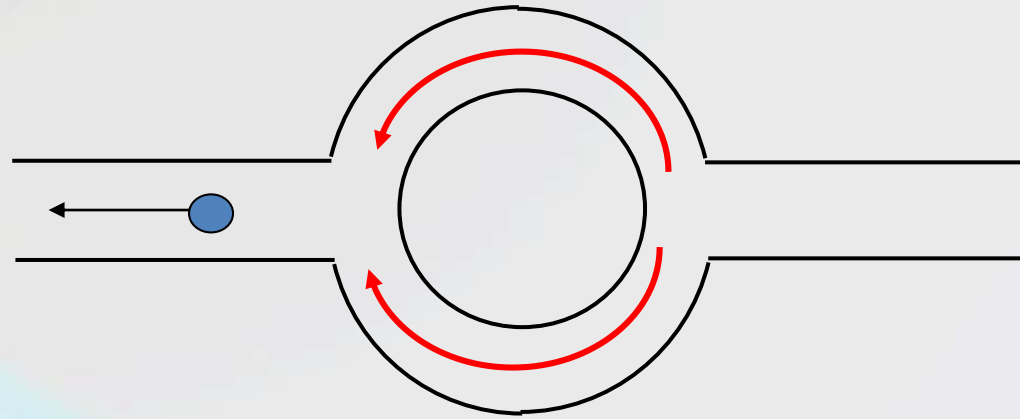
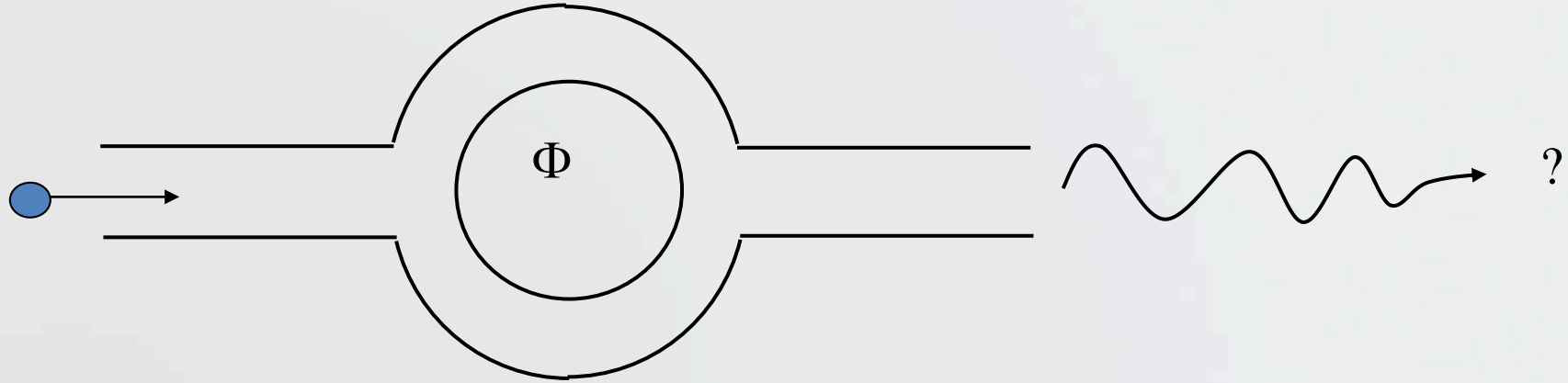
$$S_w = \begin{pmatrix} 0 & e^{i\theta_0} \\ e^{i\theta_0} & 0 \end{pmatrix}$$



$$t = \frac{4 \sin \theta_0}{1 + e^{i\theta_{AB}} (e^{i\theta_{AB}} + e^{i\theta_0} - 3e^{-i\theta_0})}$$



Disappearance of electrons?



Bunching and anti-bunching of particles

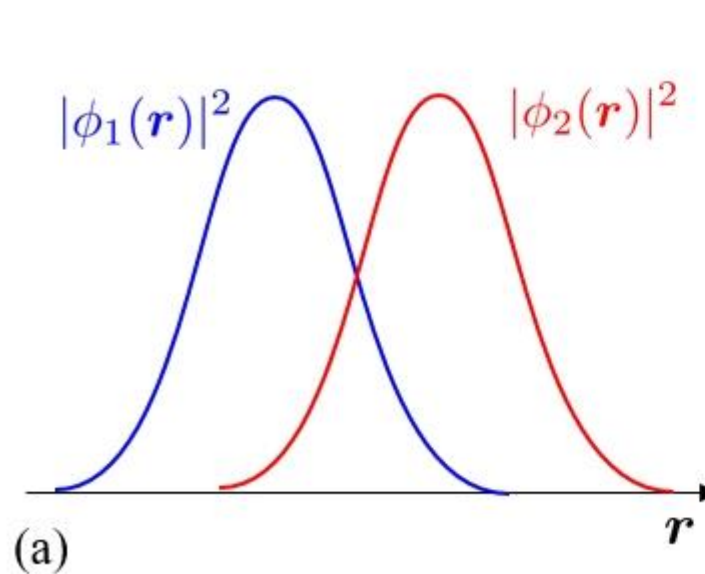
Two-particle wavefunction:

$$\psi(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{\sqrt{2}} [\phi_1(\mathbf{r}_1)\phi_2(\mathbf{r}_2) \pm \phi_1(\mathbf{r}_2)\phi_2(\mathbf{r}_1)] \quad (+: \text{boson}, -: \text{fermion})$$

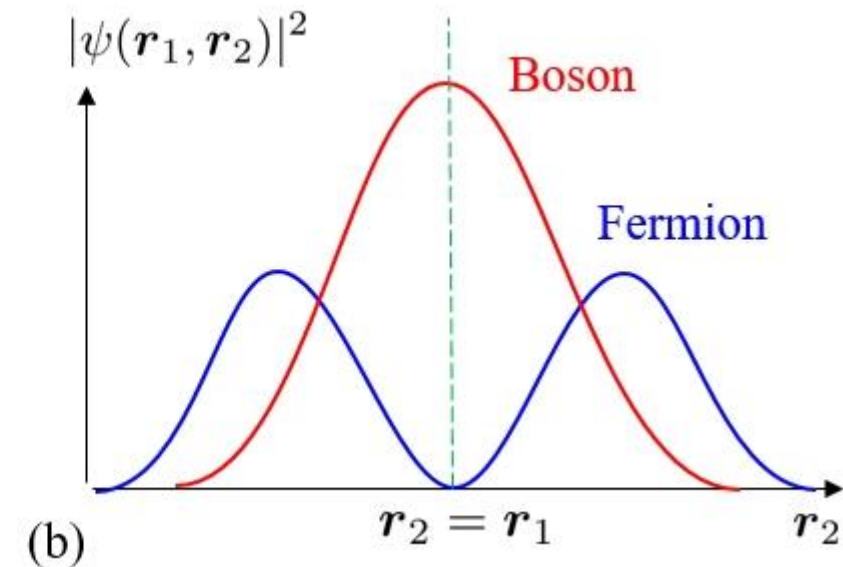
Probability of finding two-particles at the same position

$$|\psi(\mathbf{r}_1, \mathbf{r}_1)|^2 = \begin{cases} 2|\phi_1(\mathbf{r}_1)|^2|\phi_2(\mathbf{r}_1)|^2 & (\text{boson}), \\ 0 & (\text{fermion}) \end{cases}$$

Boson: bunching, bosonic stimulation \rightarrow laser oscillation, Bose-Einstein Condensation

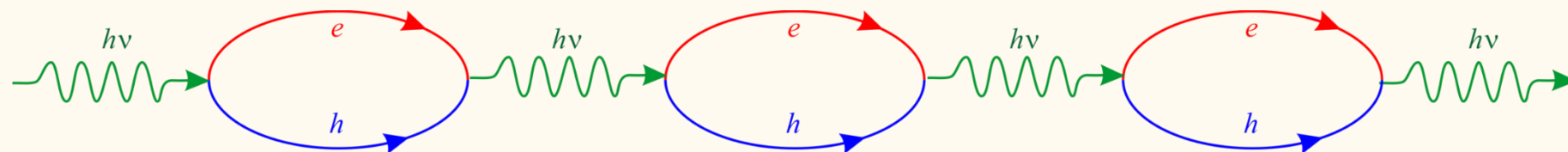


Fermion: anti-bunching, conductance quantization, shot noise reduction



Waveguide for exciton-polariton

exciton-polariton



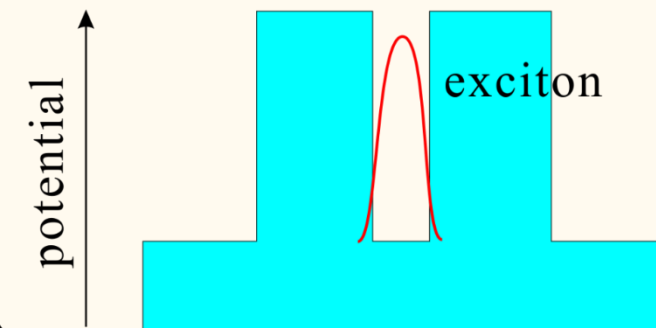
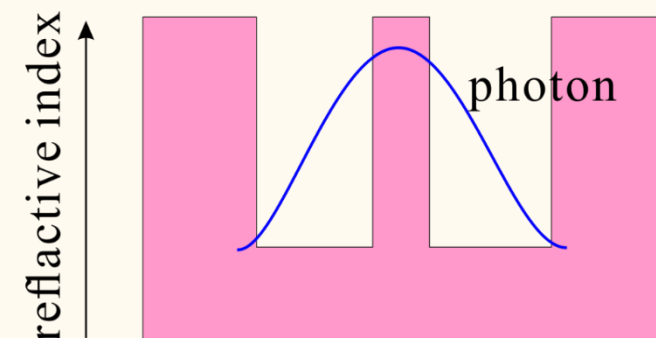
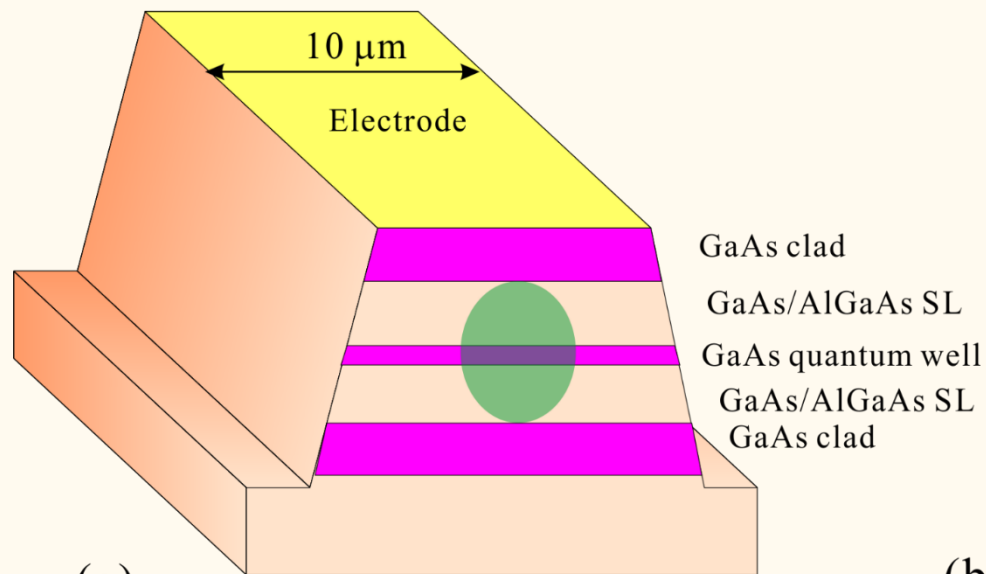
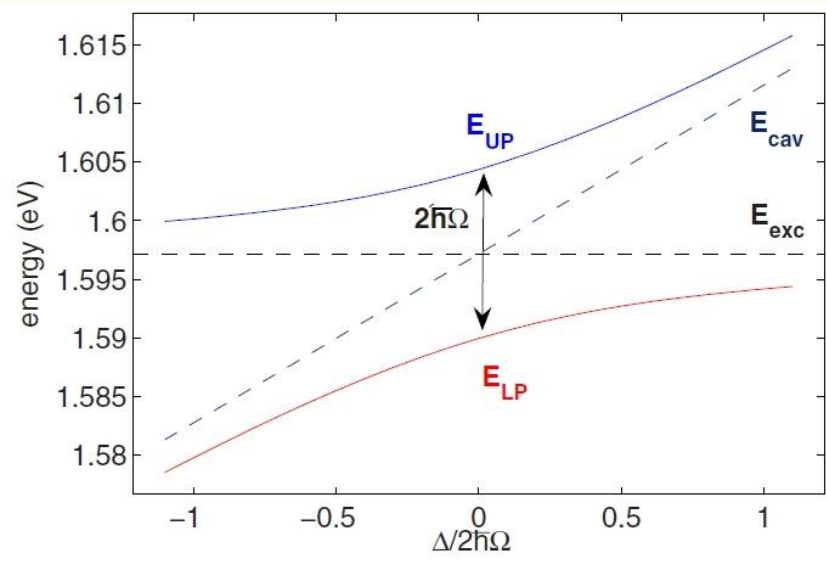
Chain of photon-exciton (photon-dressed exciton)

1 cycle \sim few fs

coherent propagation in solids

photon \rightarrow cavity photon

dispersion relation: light effective mass $\sim 10^{-4} m_{\text{exciton}}$



(a)

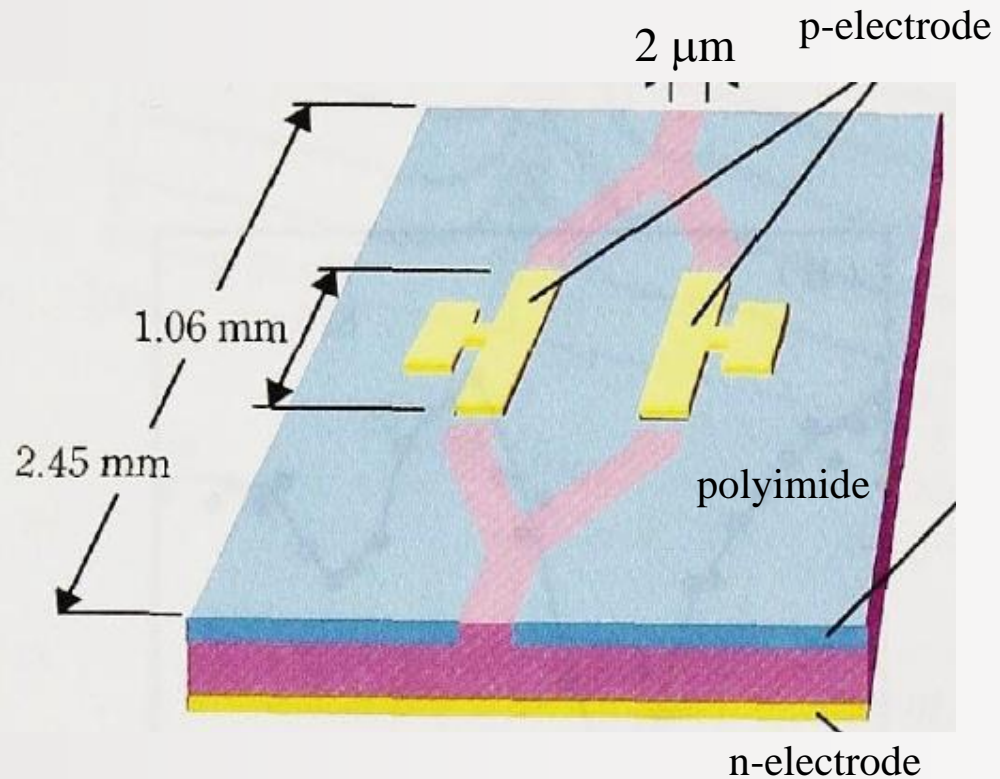
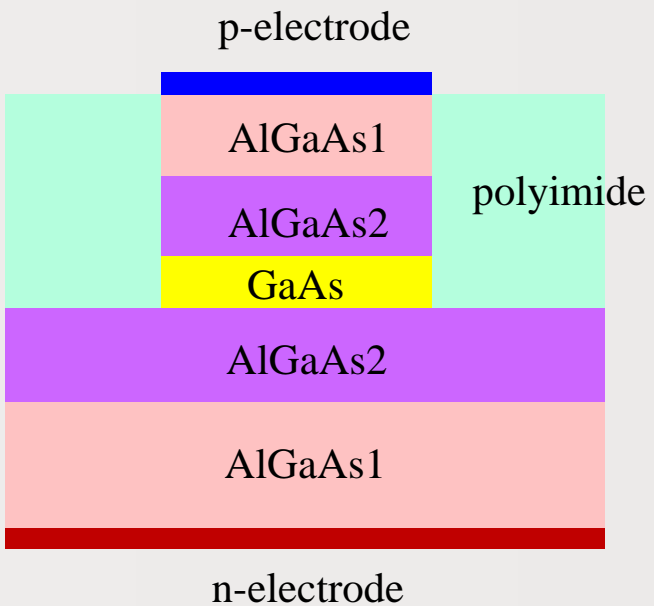
(b)

Mach-Zehnder interferometer (voltage-type)

Kinetic phase shift with electric field:
$$\Delta\varphi = L \left[\frac{\sqrt{2mE_k}}{\hbar} - \frac{\sqrt{2m(E_k - \delta E)}}{\hbar} \right]$$

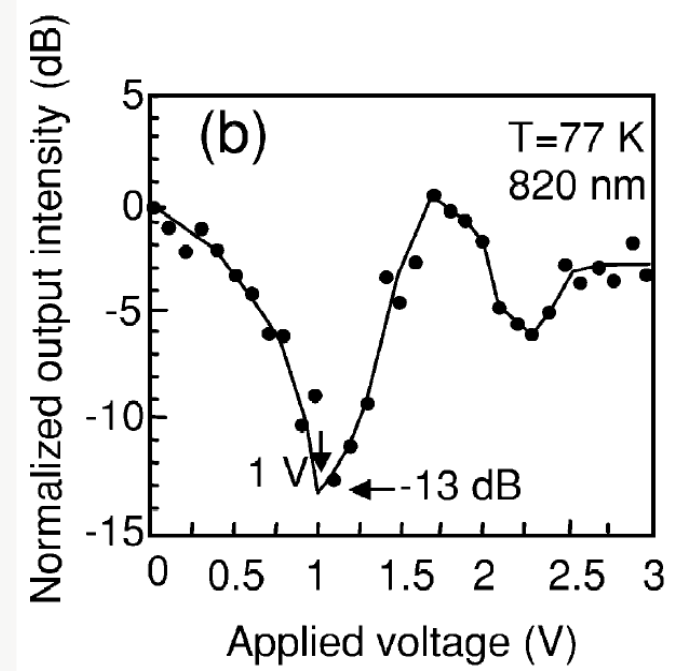
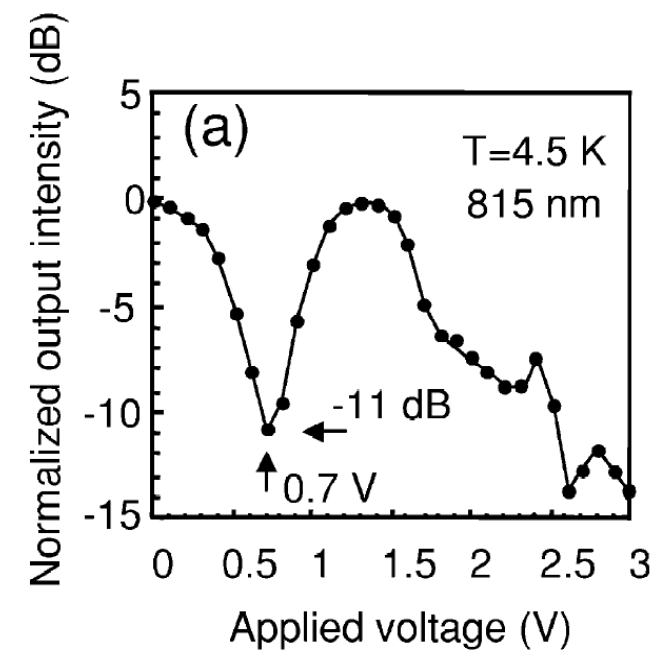
δE : energy shift due to the depletion of quantum well

junction-FET type waveguide



Voltage control of optical output through interference

Katsuyama, Hosomi, Micro. Eng. **63**, 23 (2002).

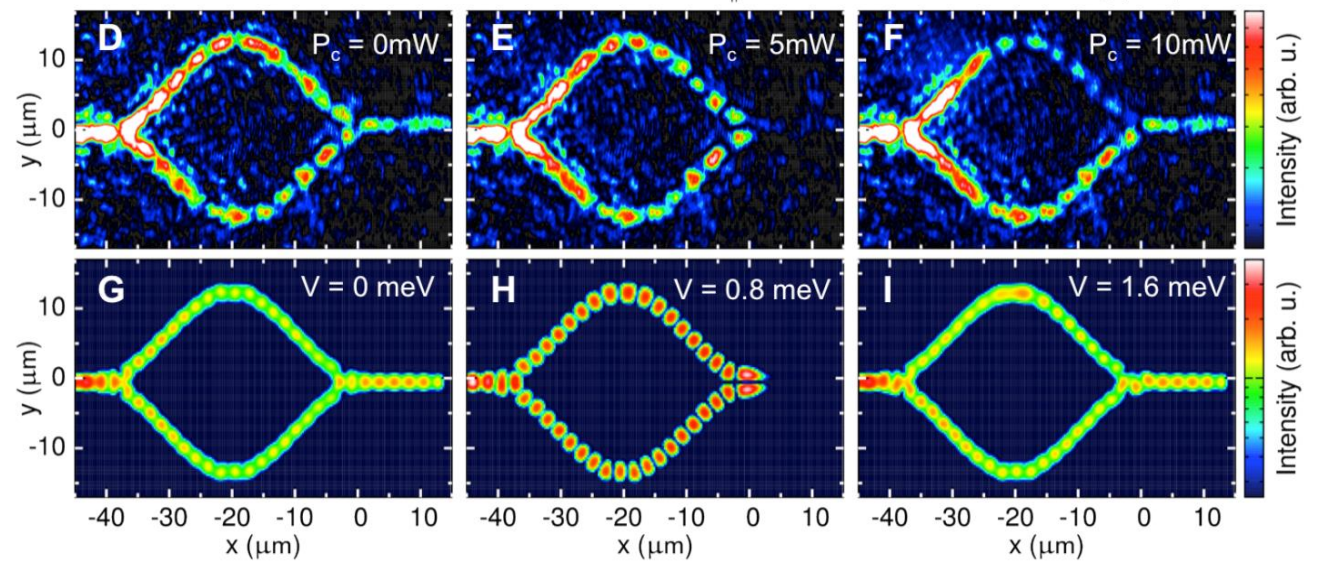
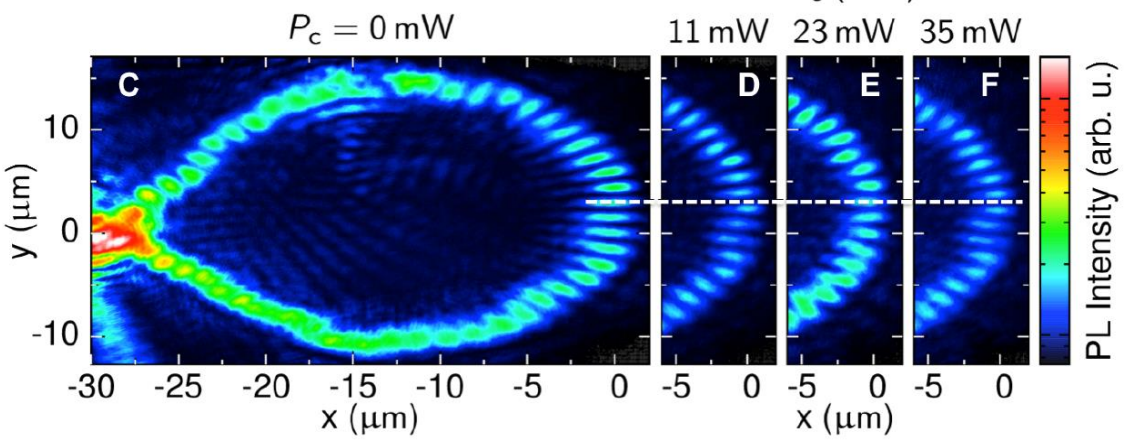
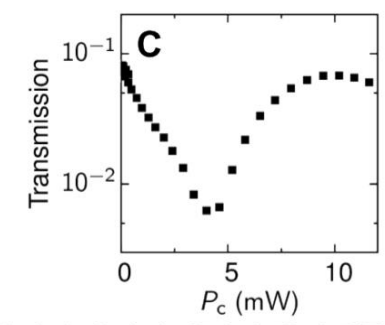
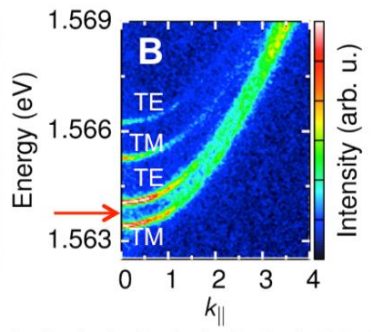
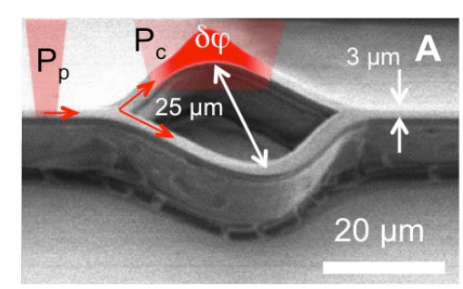
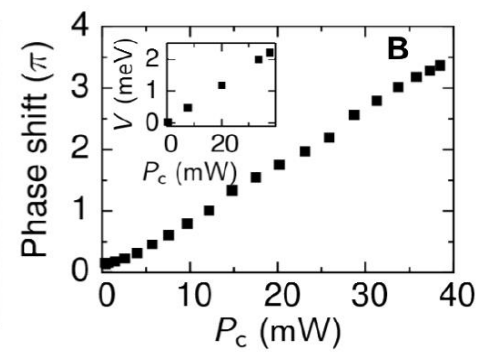
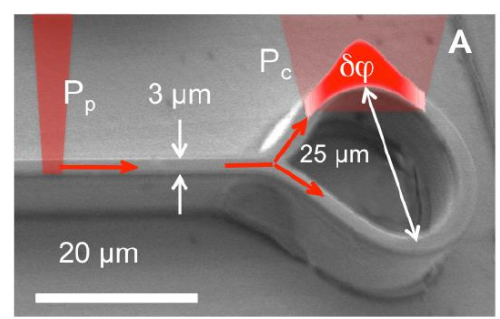


Mach-Zehnder interferometer 2 (optical control)

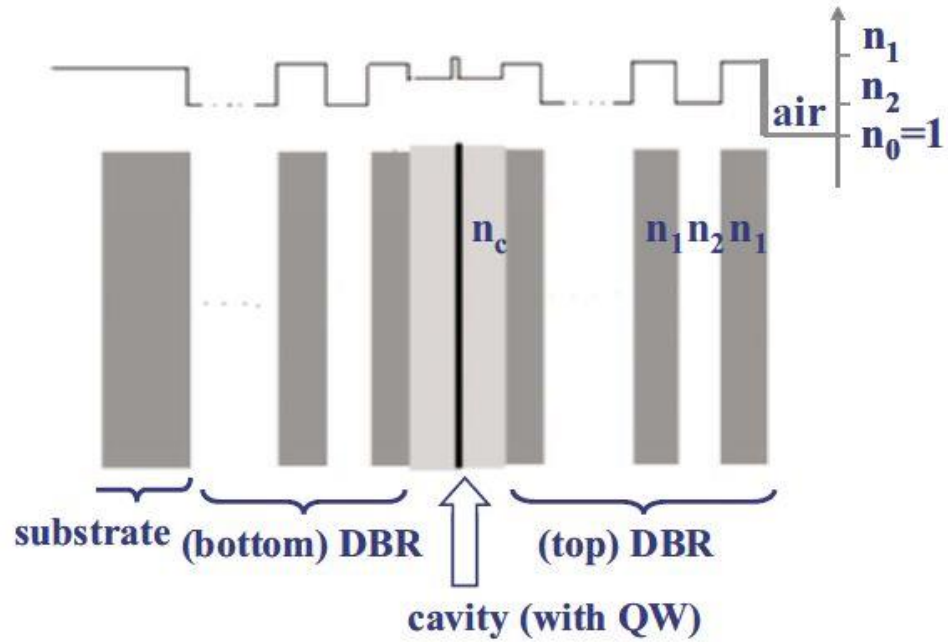
Kinetic phase shift with electric field:
$$\Delta\varphi = L \left[\frac{\sqrt{2mE_k}}{\hbar} - \frac{\sqrt{2m(E_k - \delta E)}}{\hbar} \right]$$

δE : energy shift due to the barrier by optically excited carriers (quasi-Fermi levels)

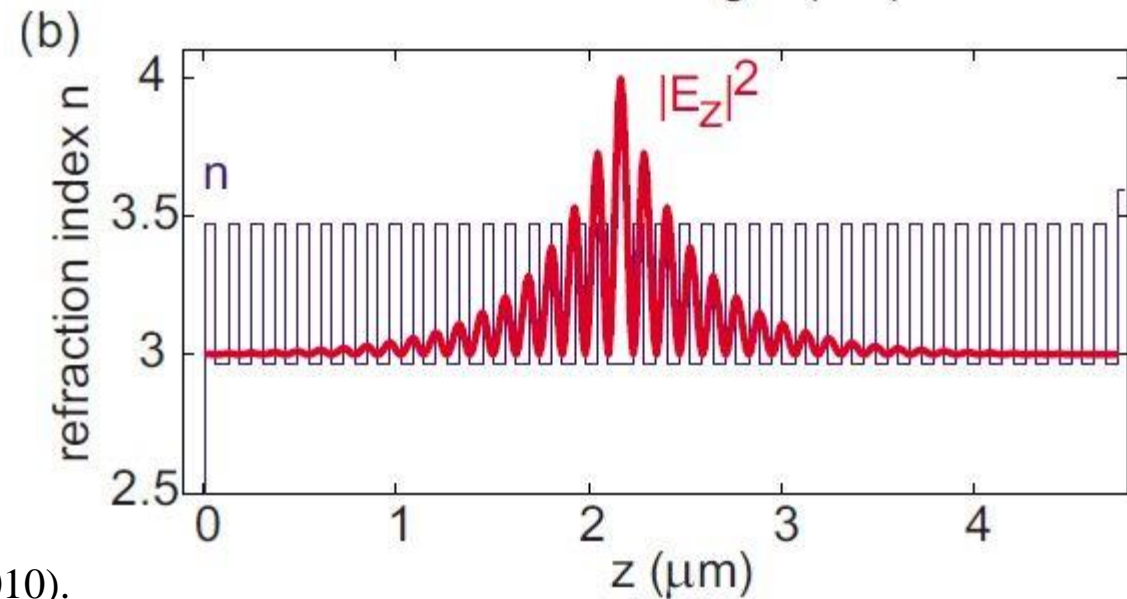
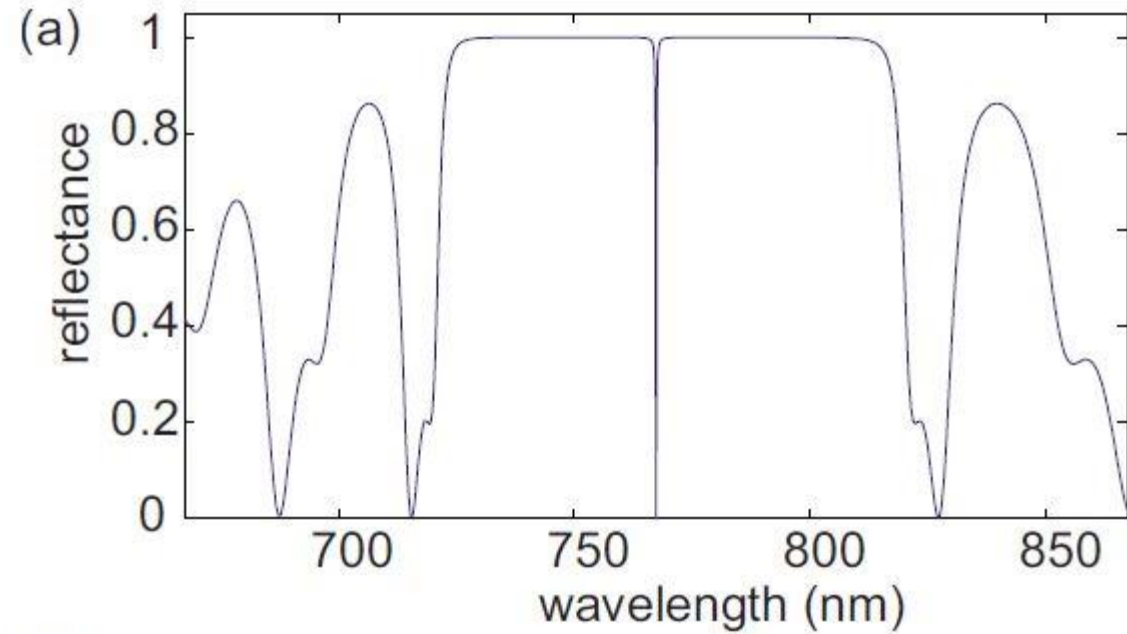
Sturm *et al.*, Nature Comm. **5**, 3278 (2014)



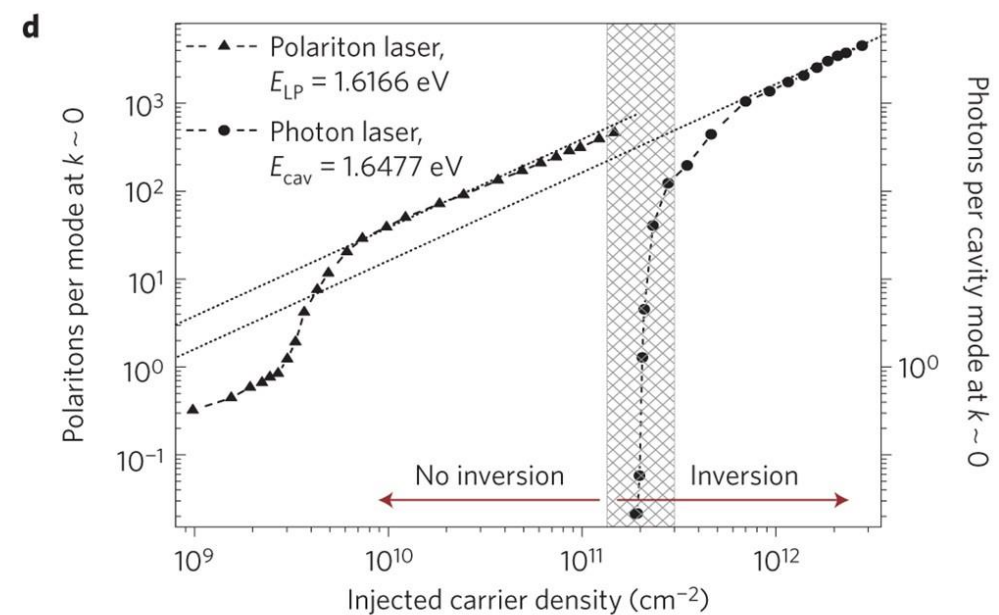
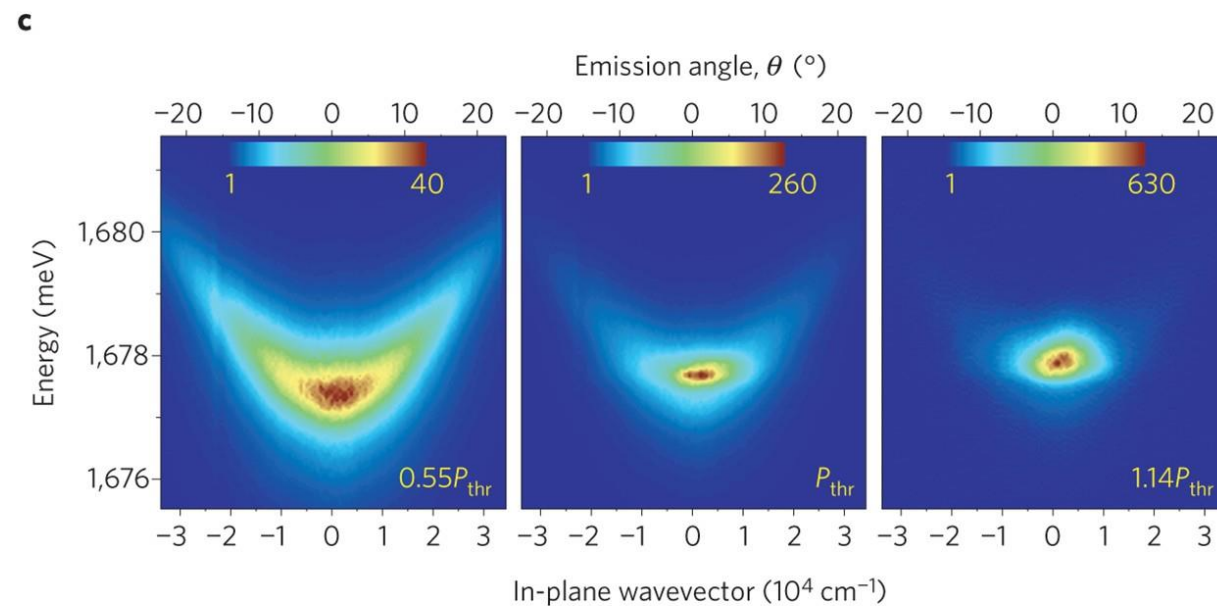
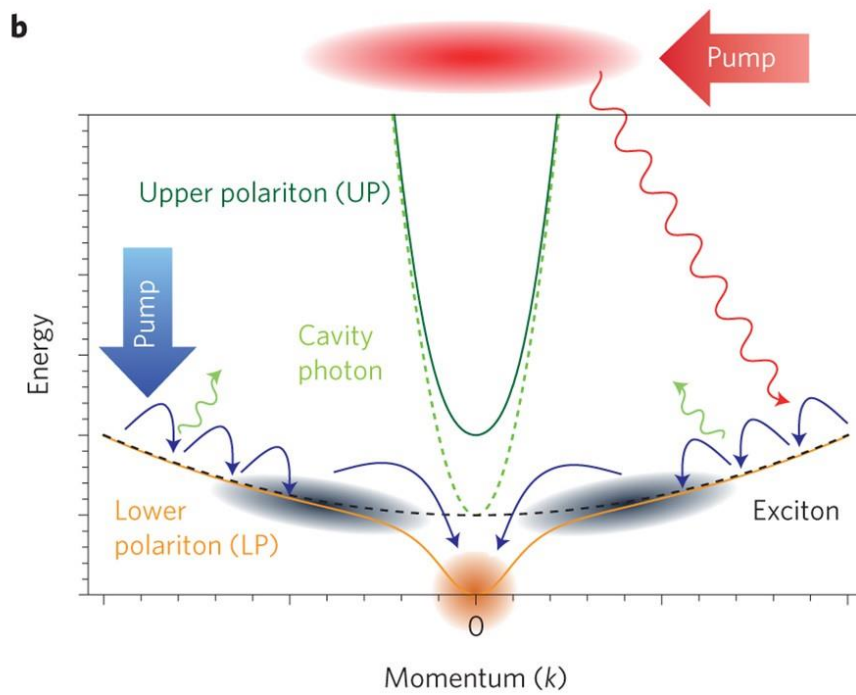
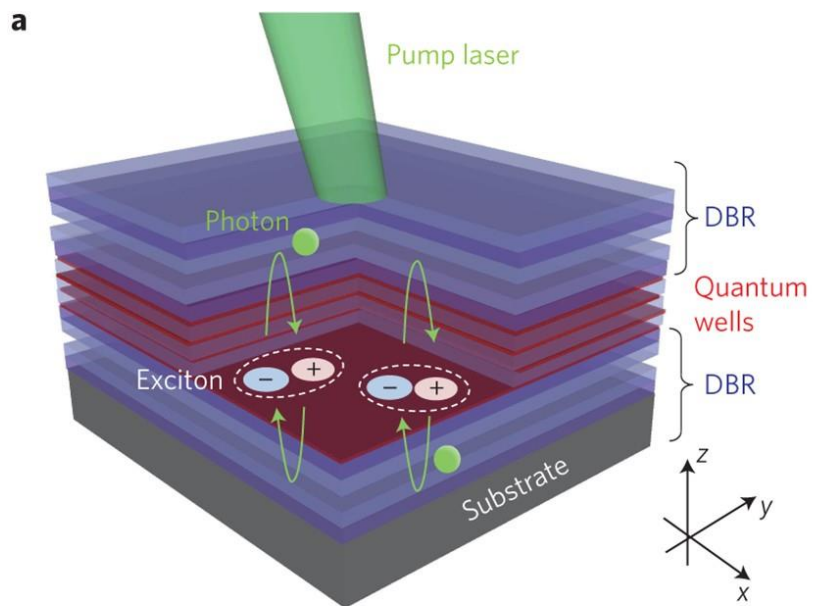
Exciton-polariton condensation



$$T = \frac{(1 - R_1)(1 - R_2)}{[1 - \sqrt{R_1 R_2}]^2 + 4\sqrt{R_1 R_2} \sin^2(\phi/2)}$$

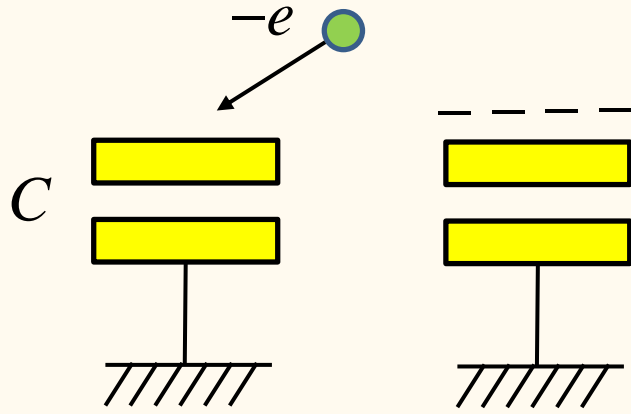


Exciton-polariton condensation2

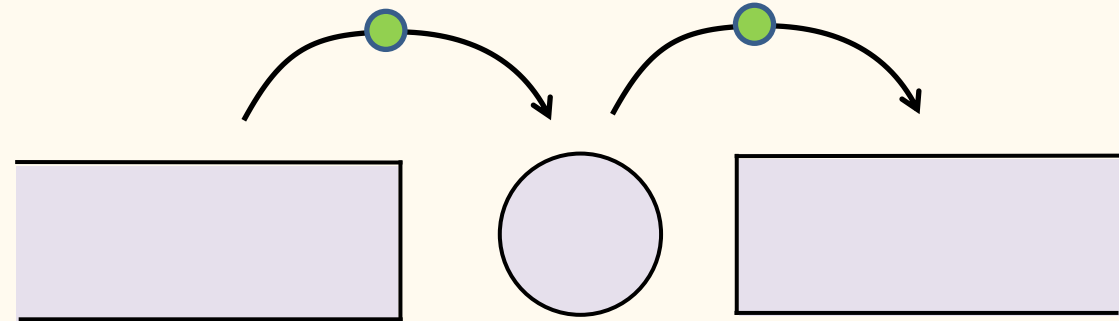


Byrnes, Kim,
Yamamoto, Nat. Phys.
10, 803 (2014),

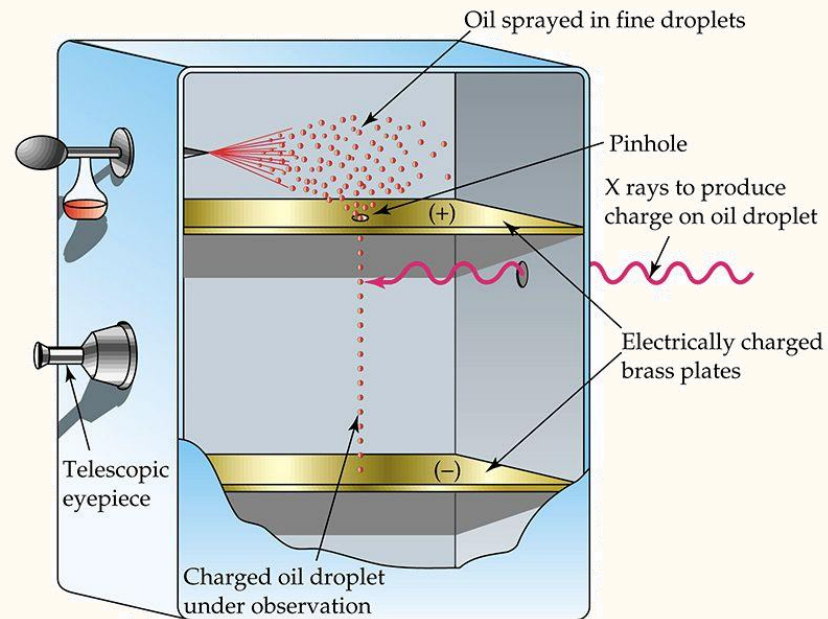
Single electron effect



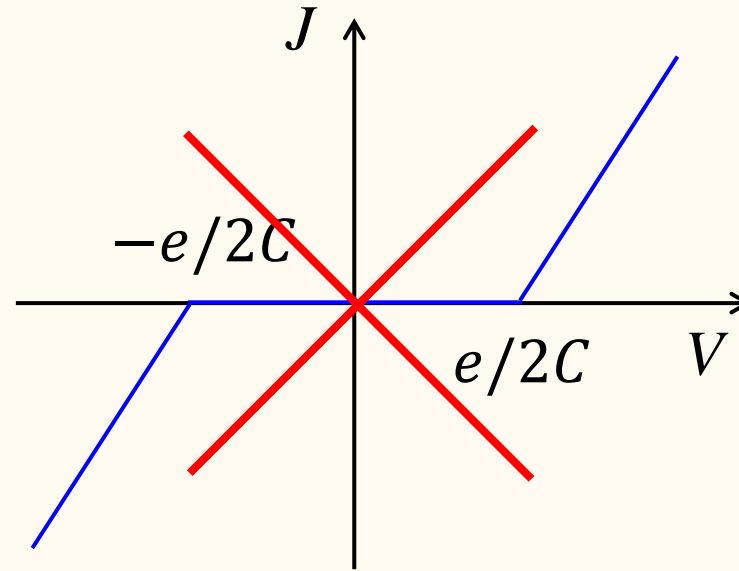
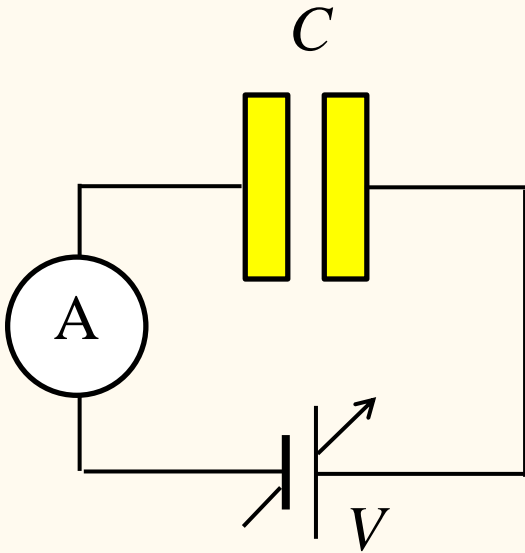
$$E_c = \frac{e^2}{2C} > k_B T \quad \text{Coulomb blockade}$$



Millikan's oil droplet experiment



Role of power sources



Power sources: Automatically supply energy.

Energy \rightarrow Enthalpy

$$H = U - PV$$

Constant interaction model, capacitor model

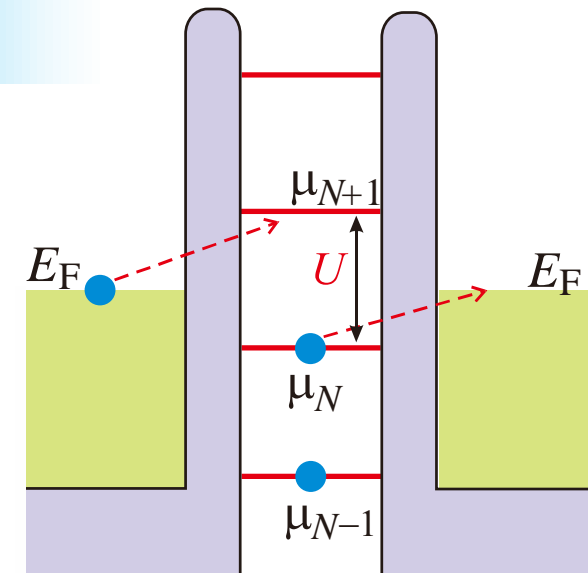
Constant interaction: U

Electron number: N
Interaction energy

$$E_{cN} = {}_N C_2 U = \frac{N(N-1)U}{2} = \frac{U(N-1/2)^2}{2} - \frac{U}{8}$$

Chemical potential

$$\Delta E_+(N) = (N-1)U$$



Charge relations

$$Q_1 + Q_2 = -eN, \quad Q_1 = CV_d,$$

$$Q_2 = C_g(V_d - V_g)$$

Electrostatic energy

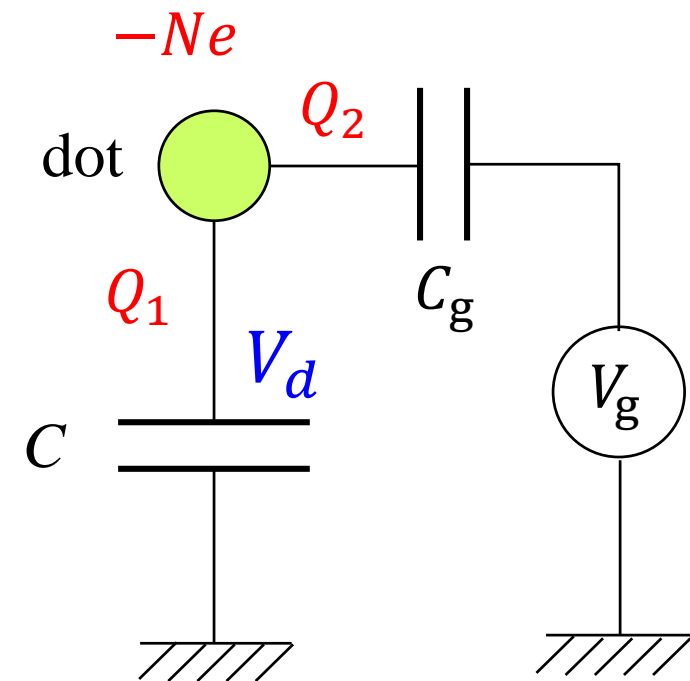
$$E = \frac{1}{2}CV_d^2 + \frac{1}{2}C_g(V_d - V_g)^2$$

Enthalpy

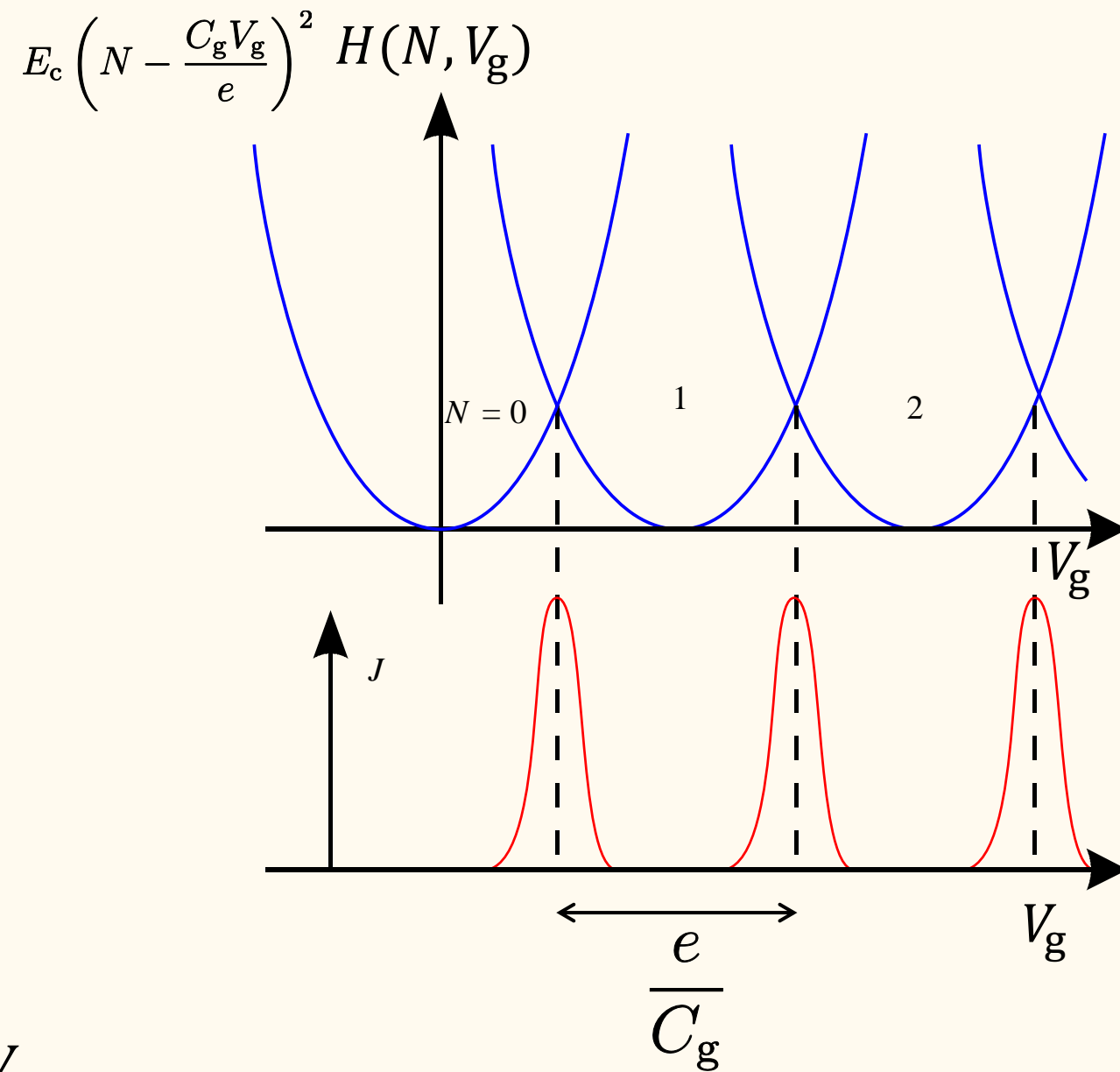
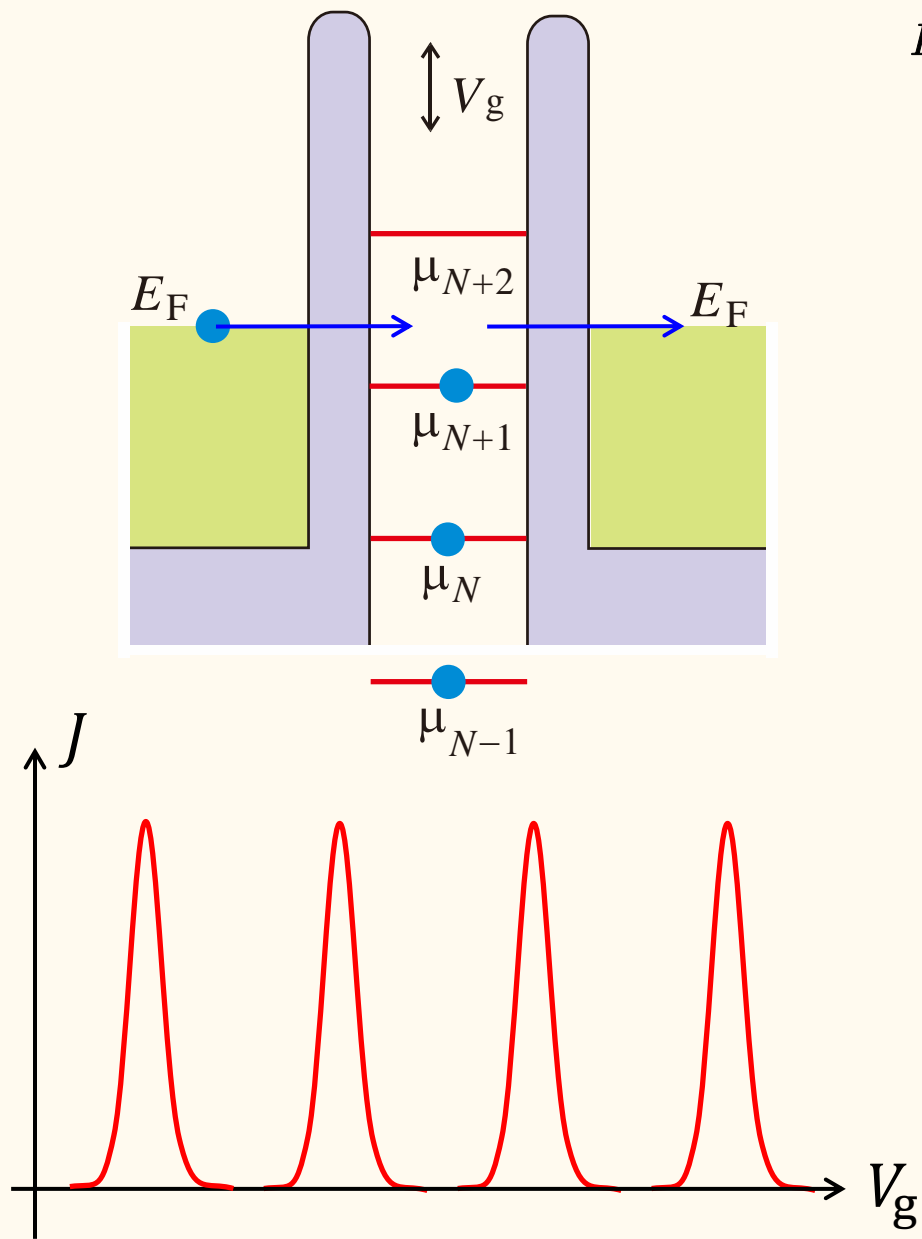
$$H(N, V_g) = \frac{(Ne - C_g V_g)^2}{2(C + C_g)} \equiv \frac{(Ne - C_g V_g)^2}{2C_s}$$

Chemical potential

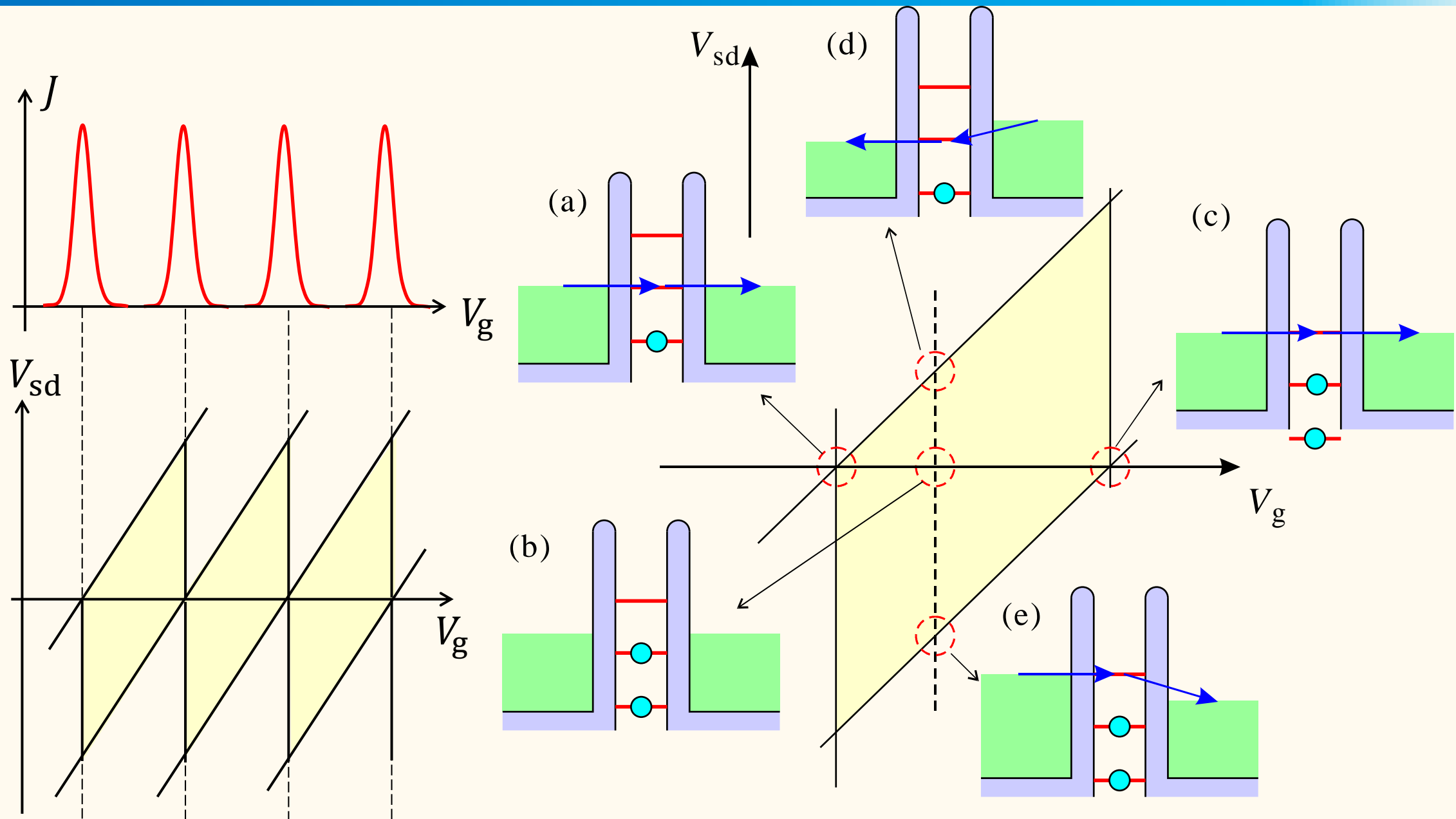
$$\mu_N \approx \frac{dH}{dN} = \frac{e(Ne - C_g V_g)}{C_s} = 2E_c \left(N - \frac{C_g V_g}{e} \right)$$



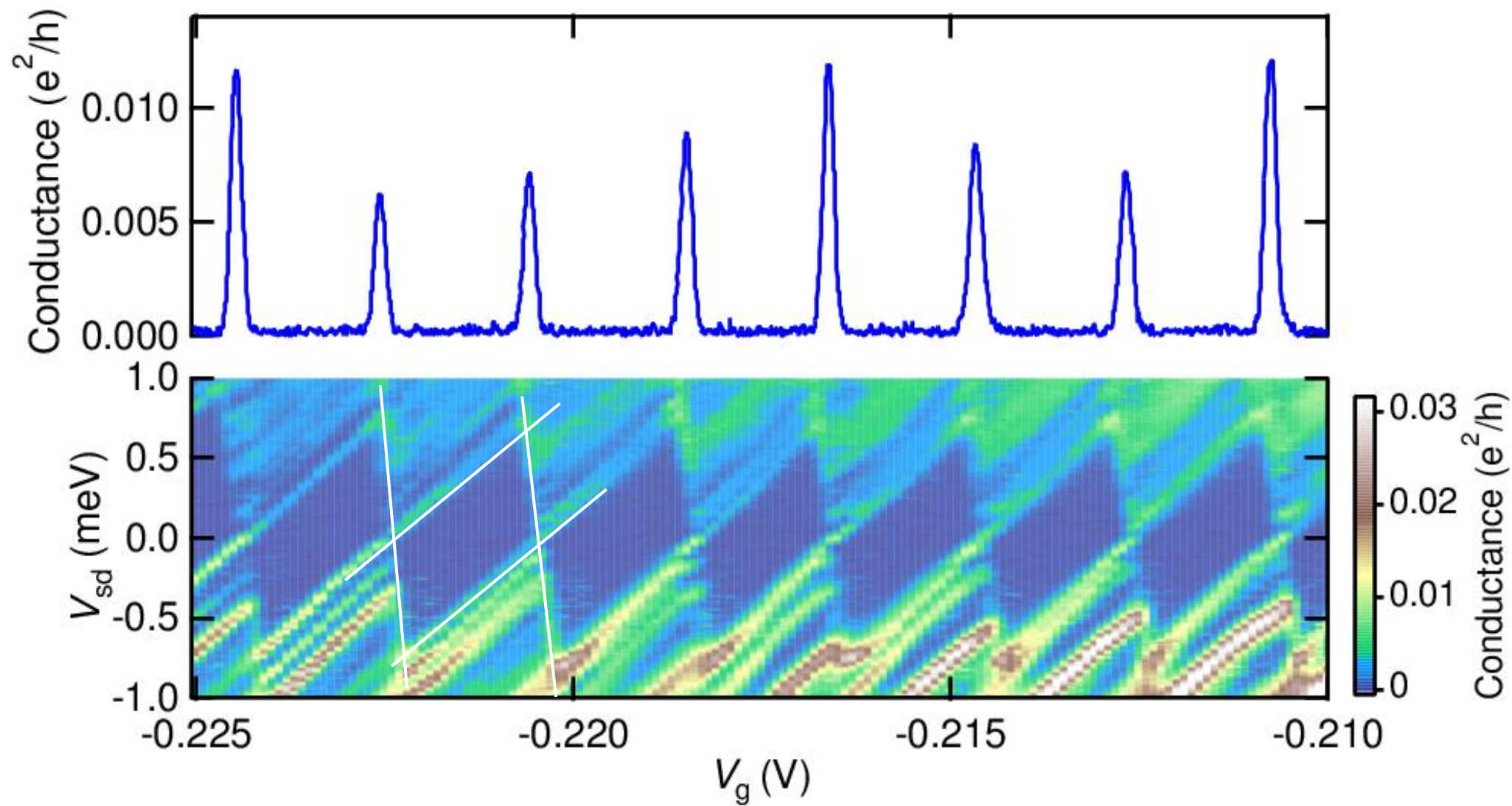
Coulomb oscillation



Coulomb diamond

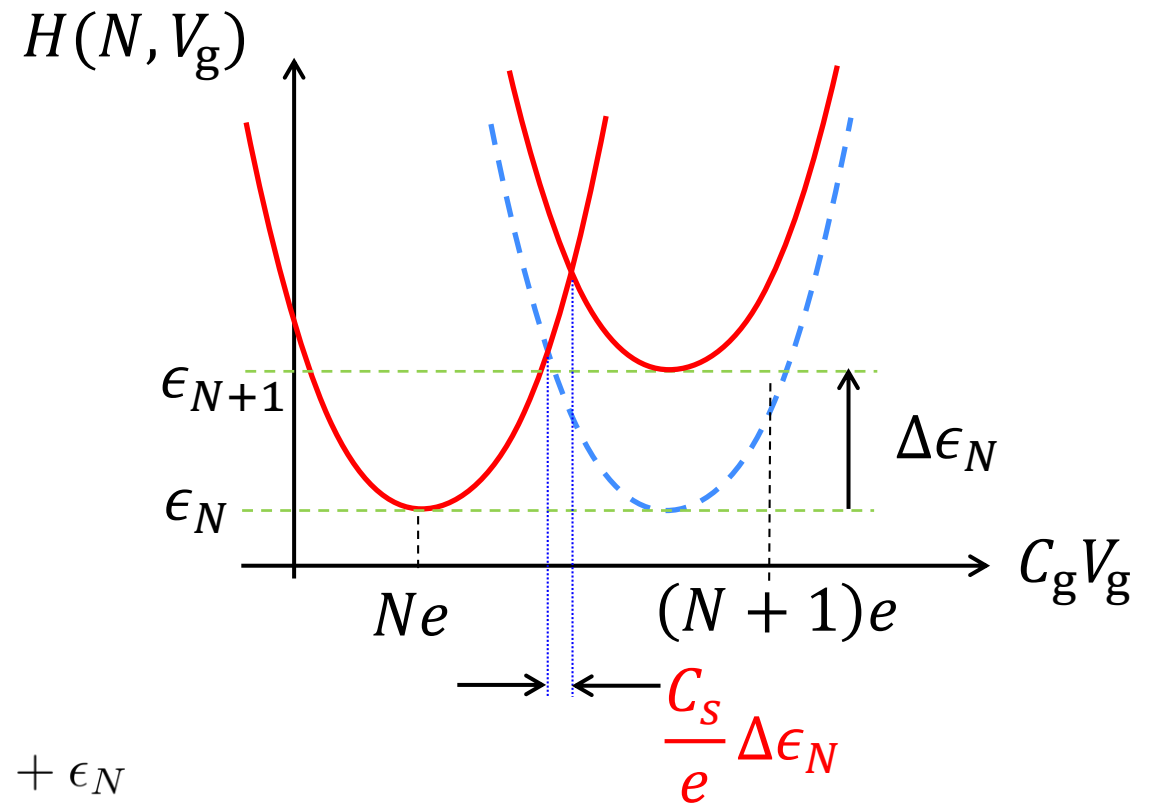
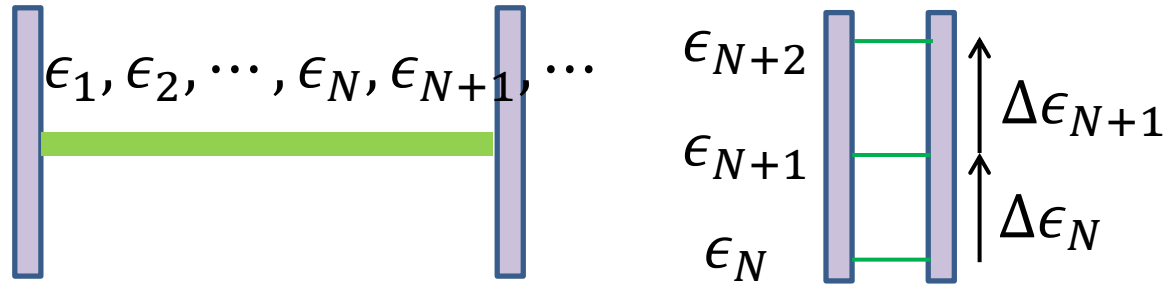


Coulomb oscillation and diamonds



Quantum confinement

Zero-dimensional confinement to a quantum dot gives shifts in Coulomb peak positions.



Enthalpy shift by quantum confinement

$$H(N) = \frac{(Ne - C_g V_g)^2}{2C_s} + \epsilon_N$$

Chemical potential shift

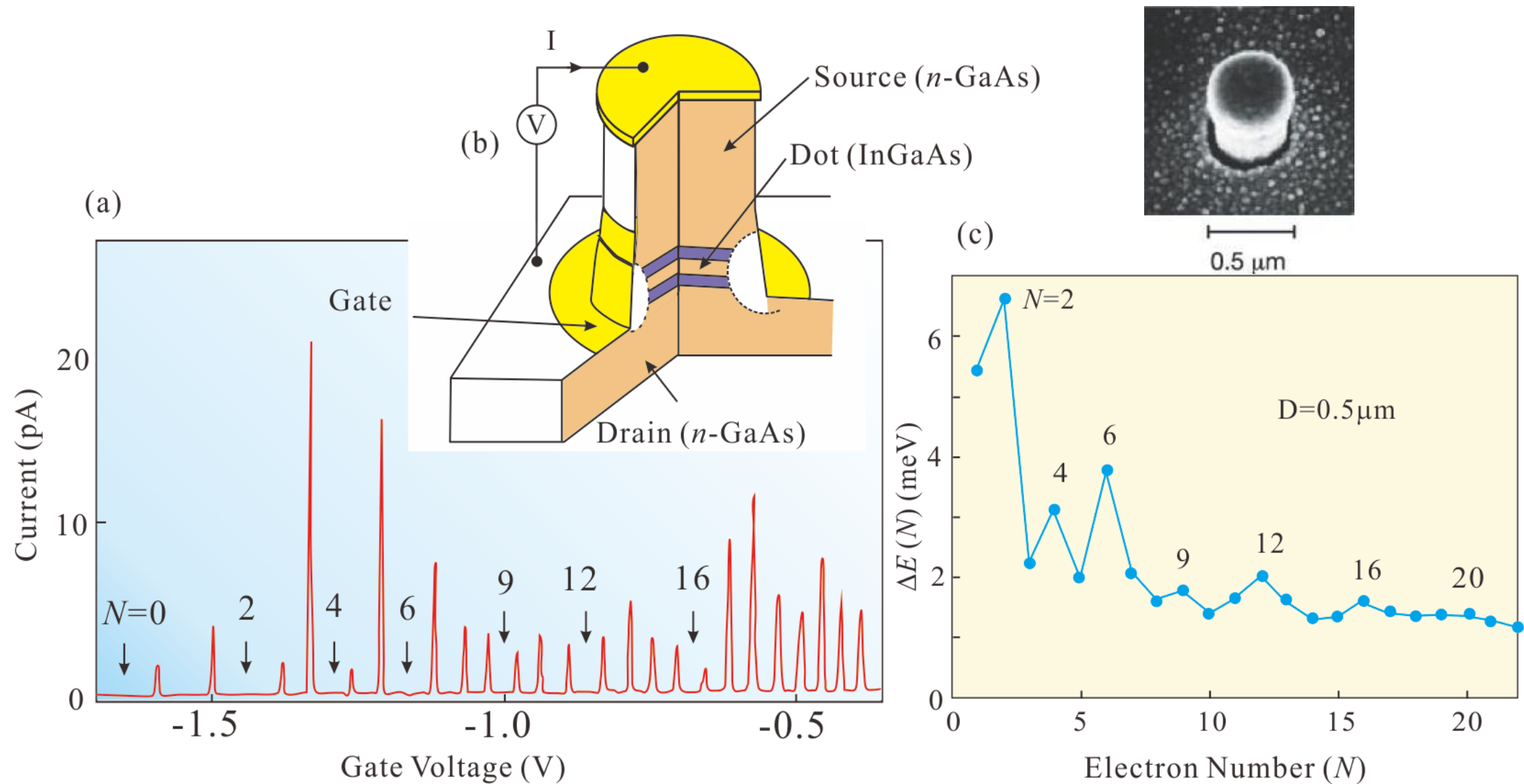
$$\begin{aligned} \Delta H(N, N+1) &= H(N+1) - H(N) \\ &= \frac{e}{C_s} \left\{ \left(N + \frac{1}{2} \right) e - C_g V_g \right\} + \Delta \epsilon_N \end{aligned}$$

$$\Delta \epsilon_N \equiv \epsilon_{N+1} - \epsilon_N$$

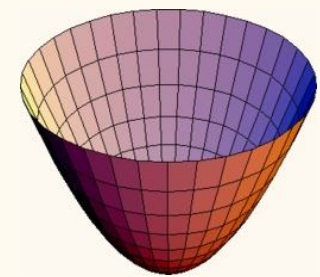
Shift in gate voltage

$$V_{gX}(N, N+1) = \frac{1}{C_g} \left\{ \left(N + \frac{1}{2} \right) e + \frac{C_s}{e} \Delta \epsilon_N \right\}$$

Quantum confinement effect in a vertical quantum dot



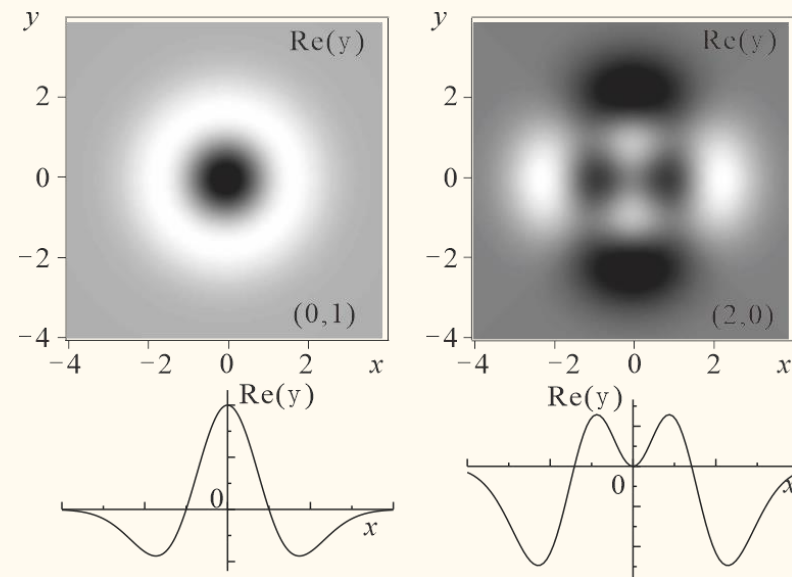
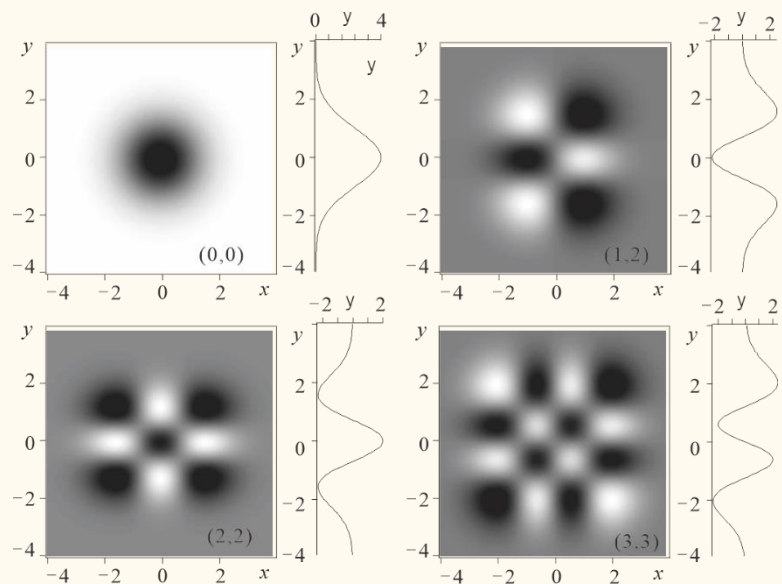
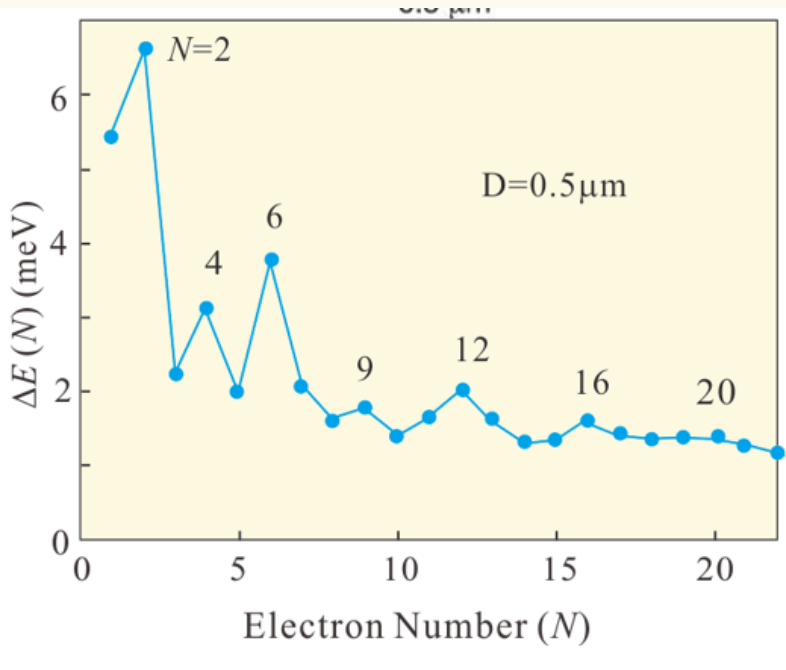
Two-dimensional harmonic potential



Potential shape: $V(x, y) = \frac{m\omega}{2}(x^2 + y^2)$

Easy solutions from 1d harmonic potential $\psi_{n_x n_y} = A \exp\left[-\frac{m\omega(x^2 + y^2)}{2\hbar}\right] H_{n_x}\left[\sqrt{\frac{m\omega}{\hbar}}x\right] H_{n_y}\left[\sqrt{\frac{m\omega}{\hbar}}y\right]$

Eigen energies: $E(n_x, n_y) = (n_x + n_y + 1)\hbar\omega = (n_t + 1)\hbar\omega \quad n_x + n_y \equiv n_t = 0, 1, 2, \dots$



For fixed $n_t \quad n_x = 0, 1, 2, \dots, n_t \quad n_t + 1$ degeneracy

With spin degeneracy: 2, 4, 6, 8, ...

$N = 2, 6, 12, 20, \dots, (n + 1)(n + 2), \dots$

Quantum dot in magnetic field

Hamiltonian with $\mathbf{B} = (0,0,B)$

$$\mathcal{H} = \frac{(\mathbf{p} + e\mathbf{A})^2}{2m} + \frac{m}{2}\omega^2(x^2 + y^2) \quad \mathbf{A} = \left(-\frac{By}{2}, \frac{Bx}{2}, 0 \right)$$

Expansion of the kinetic energy term

$$\begin{aligned} \frac{(\mathbf{p} + e\mathbf{A})^2}{2m} = & -\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) \\ & - \frac{ie\hbar B}{2m} \left(x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) + \frac{e^2 B^2}{8m} (x^2 + y^2) \end{aligned}$$

Definition of cyclotron frequency and composite harmonic confinement potential frequency

$$\omega_c = \frac{eB}{m} \quad \Omega \equiv \sqrt{\omega^2 + (\omega_c/2)^2}$$

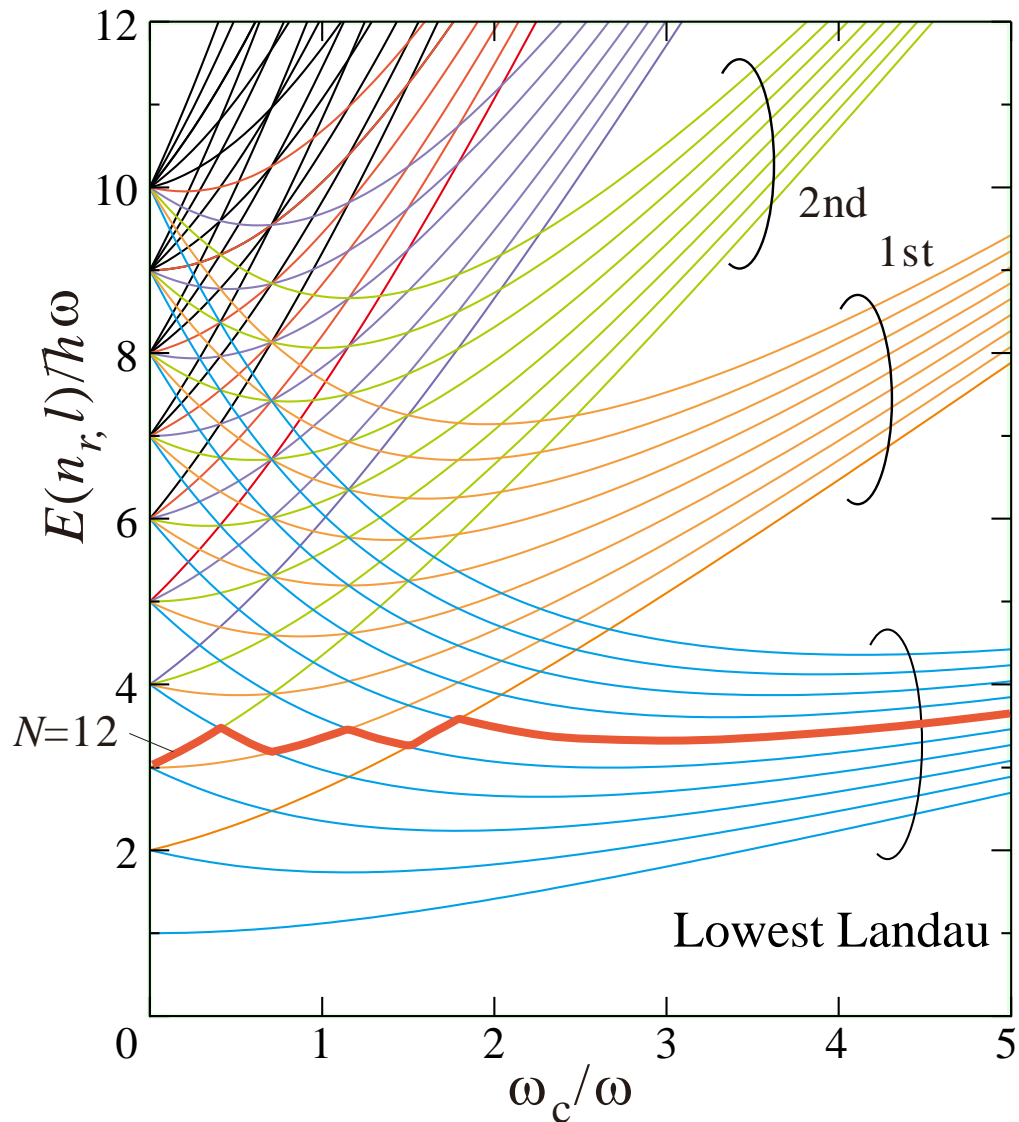
The Hamiltonian is rewritten as

$$\mathcal{H} = \frac{\hbar^2 \nabla^2}{2m} + \frac{\Omega}{2m} (x^2 + y^2) + \frac{\omega_c \hat{L}_z}{2} = \mathcal{H}_\Omega + \frac{\omega_c \hat{L}_z}{2}$$

Fock-Darwin state eigen energies

$$E(n_r, l) = \hbar\Omega(2n_r + |l| + 1) + \hbar\omega_c l/2$$

Quantum dot in magnetic field



$$\mathcal{H} = \frac{(\mathbf{p} + e\mathbf{A})^2}{2m} + \frac{m}{2}\omega^2(x^2 + y^2) \quad \mathbf{A} = \left(-\frac{By}{2}, \frac{Bx}{2}, 0 \right)$$

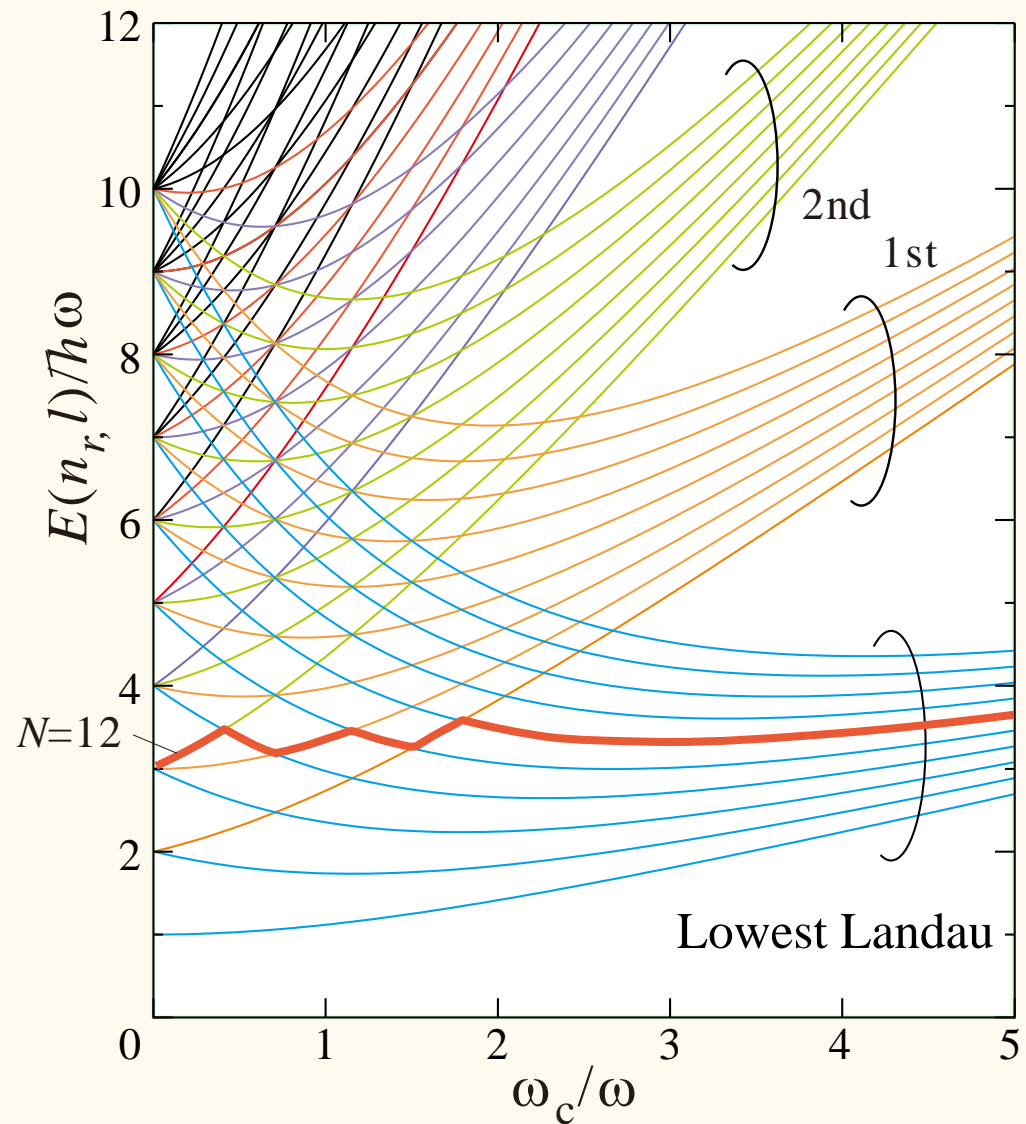
$$\frac{(\mathbf{p} + e\mathbf{A})^2}{2m} = -\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - \frac{ie\hbar B}{2m} \left(x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right) + \frac{e^2 B^2}{8m} (x^2 + y^2)$$

$$\omega_c = \frac{eB}{m} \quad \Omega \equiv \sqrt{\omega^2 + (\omega_c/2)^2}$$

$$\mathcal{H} = \frac{\hbar^2 \nabla^2}{2m} + \frac{\Omega}{2m} (x^2 + y^2) + \frac{\omega_c \hat{L}_z}{2} = \mathcal{H}_\Omega + \frac{\omega_c \hat{L}_z}{2}$$

$$E(n_r, l) = \hbar\Omega(2n_r + |l| + 1) + \hbar\omega_c l / 2$$

Fock-Darwin states



Level crossing points

$$\left(\frac{\omega_c}{\omega}\right)^2 = n_L - 2 + \frac{1}{n_L}$$

n_L : Landau index
= 1, 2, ...

• : Solutions

